

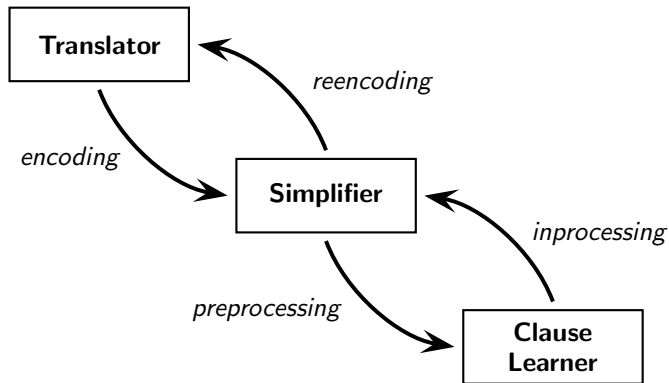
Preprocessing and Inprocessing

Marijn J.H. Heule

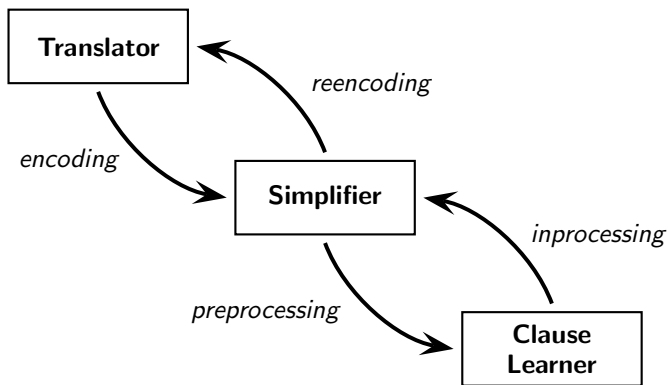


SC² Summer School, July 31, 2017

Interaction between different solving approaches



Interaction between different solving approaches



It all comes down to adding and removing redundant clauses

Redundant clauses

A clause is redundant with respect to a formula if adding it to the formula preserves satisfiability.

- ▶ For unsatisfiable formulas, all clauses can be added, including the empty clause $()$.

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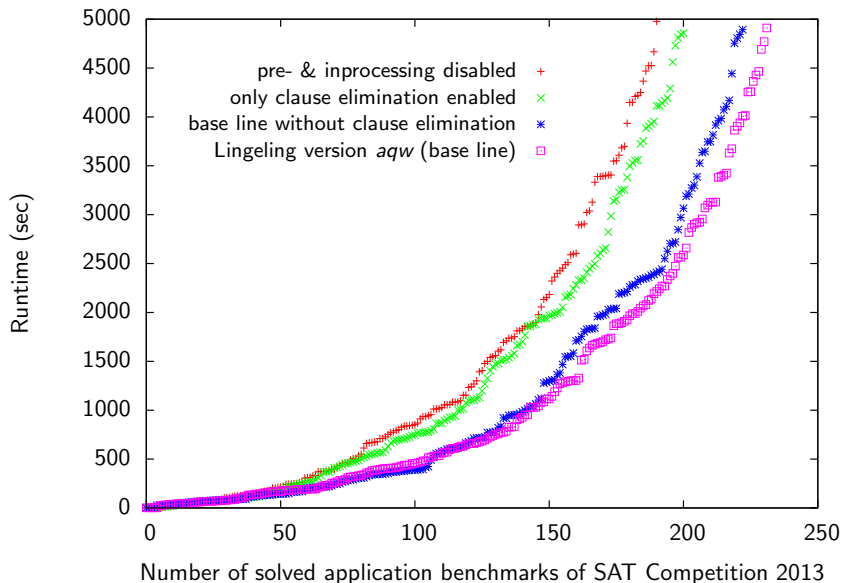
A clause is redundant with respect to a formula if removing it from the formula preserves unsatisfiability.

- ▶ For satisfiable formulas, all clauses can be removed.

Challenge regarding redundant clauses:

- ▶ How to check redundancy in polynomial time?
- ▶ Ideally find redundant clauses in linear time

Preprocessing and Inprocessing in Practice



Outline

Subsumption

Variable Elimination

Bounded Variable Addition

Blocked Clause Elimination

Hyper Binary Resolution

Unhiding Redundancy

Subsumption

Tautologies and Subsumption

Definition (Tautology)

A clause C is a tautology if its contains two complementary literals l, \bar{l} .

Example

The clause $(a \vee b \vee \bar{b})$ is a tautology.

Definition (Subsumption)

Clause C subsumes clause D if and only if $C \subset D$.

Example

The clause $(a \vee b)$ subsumes clause $(a \vee b \vee \bar{c})$.

Self-Subsuming Resolution

Self-Subsuming Resolution

$$\frac{C \vee I \quad D \vee \bar{I}}{D} \quad C \subseteq D \quad \frac{(a \vee b \vee I) \quad (a \vee b \vee c \vee \bar{I})}{(a \vee b \vee c)}$$

resolvent D subsumes second antecedent $D \vee \bar{I}$

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Example

Assume a CNF contains both antecedents

... $(a \vee b \vee I)(a \vee b \vee c \vee \bar{I})$...

If D is added, then $D \vee \bar{I}$ can be removed

which in essence *removes* \bar{I} from $D \vee \bar{I}$

... $(a \vee b \vee I)(a \vee b \vee c)$...

Initially in the SATeLite preprocessor, [EenBiere'07]
now common in most solvers (i.e., as pre- and inprocessing)

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Example: Remove literals using self-subsumption

$$\begin{aligned} &(a \vee b \vee c) \wedge (\bar{a} \vee b \vee c) \wedge \\ &(\bar{a} \vee b \vee \bar{c}) \wedge (a \vee \bar{b} \vee c) \wedge \\ &(\bar{a} \vee \bar{b} \vee d) \wedge (\bar{a} \vee \bar{b} \vee \bar{d}) \wedge \\ &(a \vee \bar{c} \vee d) \wedge (a \vee \bar{c} \vee \bar{d}) \end{aligned}$$

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Implementing Subsumption

Definition (Subsumption)

Clause C subsumes clause D if and only if $C \subset D$.

Example

The clause $(a \vee b)$ subsumes clause $(a \vee b \vee \bar{c})$.

Forward Subsumption

for each clause C in formula F **do**

if C is subsumed by a clause D in $F \setminus C$ **then**
remove C from F

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Backward Subsumption

for each clause C in formula F **do**
 remove all clauses D in F that are subsumed by C

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Forward Subsumption

for each clause C in formula F **do**
 if C is subsumed by a clause D in $F \setminus C$ **then**
 remove C from F

Backward Subsumption

for each clause C in formula F **do**
 pick a literal l in C
 remove all clauses D in F_l that are subsumed by C

Variable Elimination

Variable Elimination [DavisPutnam'60]

Definition (Resolution)

Given two clauses $C = (x \vee a_1 \vee \dots \vee a_i)$ and $D = (\bar{x} \vee b_1 \vee \dots \vee b_j)$, the *resolvent* of C and D on variable x (denoted by $C \otimes_x D$) is $(a_1 \vee \dots \vee a_i \vee b_1 \vee \dots \vee b_j)$

Resolution on sets of clauses F_x and $F_{\bar{x}}$ (denoted by $F_x \otimes_x F_{\bar{x}}$) generates all (non-tautological) resolvents of $C \in F_x$ and $D \in F_{\bar{x}}$.

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Proof procedure [DavisPutnam60]

VE is a complete proof procedure. Applying VE until fixpoint results in either the empty formula (satisfiable) or empty clause (unsatisfiable)

Example VE by clause distribution [DavisPutnam'60]

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Example of clause distribution

	F_x		
	$(x \vee c)$	$(x \vee \bar{d})$	$(x \vee \bar{a} \vee \bar{b})$
$F_{\bar{x}}$	$(a \vee c)$	$(a \vee d)$	$(a \vee \bar{a} \vee \bar{b})$
	$(b \vee c)$	$(b \vee d)$	$(b \vee \bar{a} \vee \bar{b})$
	$(c \vee \bar{e} \vee f)$	$(d \vee \bar{e} \vee f)$	$(\bar{a} \vee \bar{b} \vee \bar{e} \vee f)$

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	$(\bar{x} \vee b)$	$(b \vee c)$	$(b \vee d)$	$(b \vee \bar{a} \vee \bar{b})$
	$(\bar{x} \vee \bar{e} \vee f)$	$(c \vee \bar{e} \vee f)$	$(d \vee \bar{e} \vee f)$	$(\bar{a} \vee \bar{b} \vee \bar{e} \vee f)$

In the example: $|F_x \otimes F_{\bar{x}}| > |F_x| + |F_{\bar{x}}|$

Exponential growth of clauses in general

VE by substitution [EenBiere07]

General idea

Detect gates (or definitions) $x = \text{GATE}(a_1, \dots, a_n)$ in the formula and use them to reduce the number of added clauses

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Possible gates

gate	G_x	$G_{\bar{x}}$
$\text{AND}(a_1, \dots, a_n)$	$(x \vee \bar{a}_1 \vee \dots \vee \bar{a}_n)$	$(\bar{x} \vee a_1), \dots, (\bar{x} \vee a_n)$
$\text{OR}(a_1, \dots, a_n)$	$(x \vee \bar{a}_1), \dots, (x \vee \bar{a}_n)$	$(\bar{x} \vee a_1 \vee \dots \vee a_n)$
$\text{ITE}(c, t, f)$	$(x \vee \bar{c} \vee \bar{t}), (x \vee c \vee \bar{f})$	$(\bar{x} \vee \bar{c} \vee t), (\bar{x} \vee c \vee f)$

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Variable elimination by substitution [EenBiere07]

Let $R_x = F_x \setminus G_x$; $R_{\bar{x}} = F_{\bar{x}} \setminus G_{\bar{x}}$.

Replace $F_x \wedge F_{\bar{x}}$ by $G_x \otimes_x R_{\bar{x}} \wedge G_{\bar{x}} \otimes_x R_x$.

Always less than $F_x \otimes_x F_{\bar{x}}$!

VE by substitution [EenBiere'07]

Example of gate extraction: $x = \text{AND}(a, b)$

$$F_x = (x \vee c) \wedge (x \vee \bar{d}) \wedge (x \vee \bar{a} \vee \bar{b})$$

$$F_{\bar{x}} = (\bar{x} \vee a) \wedge (\bar{x} \vee b) \wedge (\bar{x} \vee \bar{e} \vee f)$$

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Example of substitution

	R_x		G_x
	$(x \vee c)$	$(x \vee \bar{d})$	$(x \vee \bar{a} \vee \bar{b})$
$G_{\bar{x}} \left\{ \begin{array}{l} (\bar{x} \vee a) \\ (\bar{x} \vee b) \end{array} \right.$	$(a \vee c)$	$(a \vee d)$	
$R_{\bar{x}} \left\{ \begin{array}{l} (\bar{x} \vee \bar{e} \vee f) \end{array} \right.$	$(b \vee c)$	$(b \vee d)$	$(\bar{a} \vee \bar{b} \vee \bar{e} \vee f)$

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{	$(b \vee c)$	$(b \vee d)$	
$R_{\bar{x}}$ {			$(\bar{a} \vee \bar{b} \vee \bar{e} \vee f)$
{			

using substitution: $|F_x \otimes F_{\bar{x}}| < |F_x| + |F_{\bar{x}}|$

Bounded Variable Addition

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Main Idea

Given a CNF formula F , can we construct a (semi)logically equivalent F' by introducing a new variable $x \notin \text{VAR}(F)$ such that $|F'| < |F|$?

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Reverse of Variable Elimination

For example, replace the clauses

$$\begin{array}{lll} (a \vee c) & (a \vee d) & \\ (b \vee c) & (b \vee d) & \\ (c \vee \bar{e} \vee f) & (d \vee \bar{e} \vee f) & (\bar{a} \vee \bar{b} \vee \bar{e} \vee f) \end{array}$$

by

$$\begin{array}{lll} (\bar{x} \vee a) & (\bar{x} \vee b) & (\bar{x} \vee \bar{e} \vee f) \\ (x \vee c) & (x \vee d) & (x \vee \bar{a} \vee \bar{b}) \end{array}$$

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Challenge: how to find suitable patterns for replacement?

Factoring Out Subclauses

Example

Replace

$$(a \vee b \vee c \vee d) \quad (a \vee b \vee c \vee e) \quad (a \vee b \vee c \vee f)$$

by

$$(x \vee d) \quad (x \vee e) \quad (x \vee f) \quad (\bar{x} \vee a \vee b \vee c)$$

adds 1 variable and 1 clause *reduces number of literals by 2*

Not compatible with VE, which would eliminate x immediately!

... so this does not work ...

Bounded Variable Addition

Example

Smallest pattern that is compatible: Replace

$$\begin{array}{cc} (a \vee d) & (a \vee e) \\ (b \vee d) & (b \vee e) \\ (c \vee d) & (c \vee e) \end{array}$$

by

$$\begin{array}{ccc} (\bar{x} \vee a) & (\bar{x} \vee b) & (\bar{x} \vee c) \\ (x \vee d) & (x \vee e) & \end{array}$$

adds 1 variable

removes 1 clause

Bounded Variable Addition

Possible Patterns

$$\begin{array}{ccc} (X_1 \vee L_1) & \dots & (X_1 \vee L_k) \\ \vdots & & \vdots \\ (X_n \vee L_1) & \dots & (X_n \vee L_k) \end{array} \equiv \bigwedge_{i=1}^n \bigwedge_{j=1}^k (X_i \vee L_j)$$

replaced by $\bigwedge_{i=1}^n (y \vee X_i) \wedge \bigwedge_{j=1}^k (\bar{y} \vee L_j)$

- ▶ Every k clauses share sets of literals L_j
- ▶ There are n sets of literals X_i that appear in clauses with L_j

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replaced by $\bigwedge_{i=1}^n (y \vee X_i) \wedge \bigwedge_{j=1}^k (\bar{y} \vee L_j)$

- ▶ Every k clauses share sets of literals L_j
- ▶ There are n sets of literals X_i that appear in clauses with L_j
- ▶ Reduction: $nk - n - k$ clauses are removed by replacement

Bounded Variable Addition

Possible Patterns

$$\begin{array}{ccc} (X_1 \vee L_1) & \dots & (X_1 \vee L_k) \\ \vdots & & \vdots \\ (X_n \vee L_1) & \dots & (X_n \vee L_k) \end{array} \equiv \bigwedge_{i=1}^n \bigwedge_{j=1}^k (X_i \vee L_j)$$

replaced by $\bigwedge_{i=1}^n (y \vee X_i) \wedge \bigwedge_{j=1}^k (\bar{y} \vee L_j)$

- ▶ Every k clauses share sets of literals L_j
- ▶ There are n sets of literals X_i that appear in clauses with L_j
- ▶ Reduction: $nk - n - k$ clauses are removed by replacement

Bounded Variable Addition on AtMostOneZero (1)

Example encoding of AtMostOneZero (x_1, x_2, \dots, x_n)

$$\begin{aligned} & (x_1 \vee x_2) \wedge (x_9 \vee x_{10}) \wedge (x_8 \vee x_{10}) \wedge (x_7 \vee x_{10}) \wedge (x_6 \vee x_{10}) \wedge \\ & (x_1 \vee x_3) \wedge (x_2 \vee x_3) \wedge (x_8 \vee x_9) \wedge (x_7 \vee x_9) \wedge (x_6 \vee x_9) \wedge \\ & (x_1 \vee x_4) \wedge (x_2 \vee x_4) \wedge (x_3 \vee x_4) \wedge (x_7 \vee x_8) \wedge (x_6 \vee x_8) \wedge \\ & (x_1 \vee x_5) \wedge (x_2 \vee x_5) \wedge (x_3 \vee x_5) \wedge (x_4 \vee x_5) \wedge (x_6 \vee x_7) \wedge \\ & (x_1 \vee x_6) \wedge (x_2 \vee x_6) \wedge (x_3 \vee x_6) \wedge (x_4 \vee x_6) \wedge (x_5 \vee x_6) \wedge \\ & (x_1 \vee x_7) \wedge (x_2 \vee x_7) \wedge (x_3 \vee x_7) \wedge (x_4 \vee x_7) \wedge (x_5 \vee x_7) \wedge \\ & (x_1 \vee x_8) \wedge (x_2 \vee x_8) \wedge (x_3 \vee x_8) \wedge (x_4 \vee x_8) \wedge (x_5 \vee x_8) \wedge \\ & (x_1 \vee x_9) \wedge (x_2 \vee x_9) \wedge (x_3 \vee x_9) \wedge (x_4 \vee x_9) \wedge (x_5 \vee x_9) \wedge \\ & (x_1 \vee x_{10}) \wedge (x_2 \vee x_{10}) \wedge (x_3 \vee x_{10}) \wedge (x_4 \vee x_{10}) \wedge (x_5 \vee x_{10}) \end{aligned}$$

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Replace $(x_i \vee x_j)$ with $i \in \{1..5\}, j \in \{6..10\}$ by $(x_i \vee y), (x_j \vee \bar{y})$

Bounded Variable Addition on AtMostOneZero (2)

Example encoding of AtMostOneZero (x_1, x_2, \dots, x_n)

$$\begin{aligned} &(x_1 \vee x_2) \wedge (x_9 \vee x_{10}) \wedge (x_8 \vee x_{10}) \wedge (x_7 \vee x_{10}) \wedge (x_6 \vee x_{10}) \wedge \\ &(x_1 \vee x_3) \wedge (x_2 \vee x_3) \wedge (x_8 \vee x_9) \wedge (x_7 \vee x_9) \wedge (x_6 \vee x_9) \wedge \\ &(x_1 \vee x_4) \wedge (x_2 \vee x_4) \wedge (x_3 \vee x_4) \wedge (x_7 \vee x_8) \wedge (x_6 \vee x_8) \wedge \\ &(x_1 \vee x_5) \wedge (x_2 \vee x_5) \wedge (x_3 \vee x_5) \wedge (x_4 \vee x_5) \wedge (x_6 \vee x_7) \wedge \\ &(x_1 \vee y) \wedge (x_2 \vee y) \wedge (x_3 \vee y) \wedge (x_4 \vee y) \wedge (x_5 \vee y) \wedge \\ &(x_6 \vee \bar{y}) \wedge (x_7 \vee \bar{y}) \wedge (x_8 \vee \bar{y}) \wedge (x_9 \vee \bar{y}) \wedge (x_{10} \vee \bar{y}) \end{aligned}$$

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Replace matched pattern

$$\begin{aligned} & (x_1 \vee z) \wedge (x_2 \vee z) \wedge (x_3 \vee z) \wedge \\ & (x_4 \vee \bar{z}) \wedge (x_5 \vee \bar{z}) \wedge (y \vee \bar{z}) \end{aligned}$$

Bounded Variable Addition on AtMostOneZero (3)

Example encoding of AtMostOneZero (x_1, x_2, \dots, x_n)

$$\begin{aligned} & (x_1 \vee x_2) \wedge (x_9 \vee x_{10}) \wedge (x_8 \vee x_{10}) \wedge (x_7 \vee x_{10}) \wedge (x_6 \vee x_{10}) \wedge \\ & (x_1 \vee x_3) \wedge (x_2 \vee x_3) \wedge (x_8 \vee x_9) \wedge (x_7 \vee x_9) \wedge (x_6 \vee x_9) \wedge \\ & (x_1 \vee z) \wedge (x_2 \vee z) \wedge (x_3 \vee z) \wedge (x_7 \vee x_8) \wedge (x_6 \vee x_8) \wedge \\ & (x_4 \vee \bar{z}) \wedge (x_5 \vee \bar{z}) \wedge (y \vee \bar{z}) \wedge (x_4 \vee x_5) \wedge (x_6 \vee x_7) \wedge \\ & (x_4 \vee y) \wedge (x_5 \vee y) \wedge (x_6 \vee \bar{y}) \wedge (x_7 \vee \bar{y}) \wedge (x_8 \vee \bar{y}) \\ & (x_9 \vee \bar{y}) \wedge (x_{10} \vee \bar{y}) \end{aligned}$$

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Replace matched pattern

$$\begin{aligned} & (x_6 \vee w) \wedge (x_7 \vee w) \wedge (x_8 \vee w) \wedge \\ & (x_9 \vee \bar{w}) \wedge (x_{10} \vee \bar{w}) \wedge (\bar{y} \vee \bar{w}) \end{aligned}$$

Blocked Clause Elimination

Blocked Clauses [Kullmann'99]

Definition (Blocking literal)

A literal l in a clause C of a CNF F blocks C w.r.t. F if for every clause $D \in F_{\bar{l}}$, the resolvent $(C \setminus \{l\}) \cup (D \setminus \{\bar{l}\})$ obtained from resolving C and D on l is a tautology.

With respect to a fixed CNF and its clauses we have:

Definition (Blocked clause)

A clause is blocked if it contains a literal that blocks it.

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Example

Consider the formula $(a \vee b) \wedge (a \vee \bar{b} \vee \bar{c}) \wedge (\bar{a} \vee c)$.

First clause is not blocked.

Second clause is blocked by both a and \bar{c} .

Third clause is blocked by c

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First clause is not blocked.

Second clause is blocked by both a and \bar{c} .

Third clause is blocked by c

Proposition

Removal of an arbitrary blocked clause preserves satisfiability.

Blocked Clause Elimination (BCE)

Definition (BCE)

While there is a blocked clause C in a CNF F , remove C from F .

Example

Consider $(a \vee b) \wedge (a \vee \bar{b} \vee \bar{c}) \wedge (\bar{a} \vee c)$.

After removing either $(a \vee \bar{b} \vee \bar{c})$ or $(\bar{a} \vee c)$, the clause $(a \vee b)$ becomes blocked (*no clause* with either \bar{b} or \bar{a}).

An extreme case in which BCE removes all clauses!

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An extreme case in which BCE removes all clauses!

Proposition

BCE is confluent, i.e., has a unique fixpoint

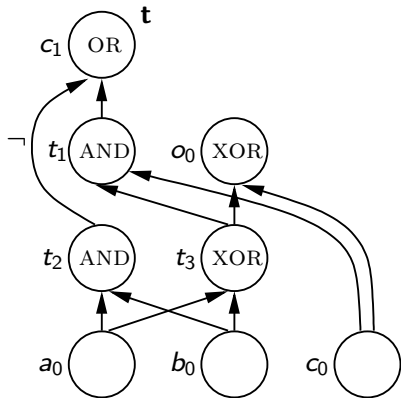
- ▶ Blocked clauses stay blocked w.r.t. removal

BCE very effective on circuits [JärvisaloBiereHeule'10]

BCE converts the Tseitin encoding to Plaisted Greenbaum

BCE simulates Pure literal elimination, Cone of influence and much more

Example of circuit simplification by BCE on Tseitin encoding

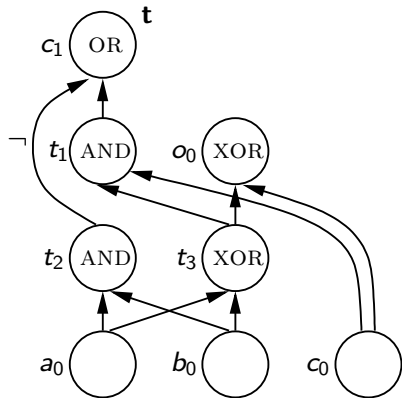


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	$(\bar{t}_1 \vee c_0)$
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$(c_1 \vee \bar{t}_1)$	$(t_2 \vee \bar{a}_0 \vee \bar{b}_0)$
$(c_1 \vee t_2)$	$(\bar{t}_2 \vee a_0)$
	$(\bar{t}_2 \vee b_0)$
$(\bar{o}_0 \vee t_3 \vee c_0)$	
$(\bar{o}_0 \vee \bar{t}_3 \vee \bar{c}_0)$	$(\bar{t}_3 \vee a_0 \vee \bar{b}_0)$
$(o_0 \vee t_3 \vee \bar{c}_0)$	$(\bar{t}_3 \vee \bar{a}_0 \vee \bar{b}_0)$
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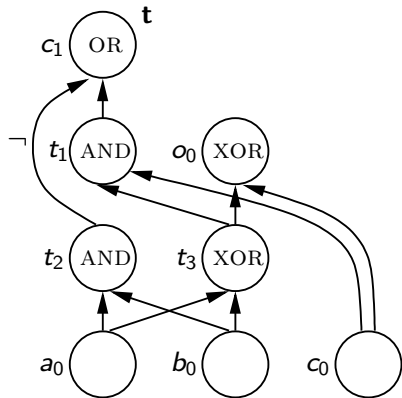


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$(a_0 \vee t_3 \vee \bar{c}_0)$	$(\bar{t}_3 \vee a_0 \vee \bar{b}_0)$
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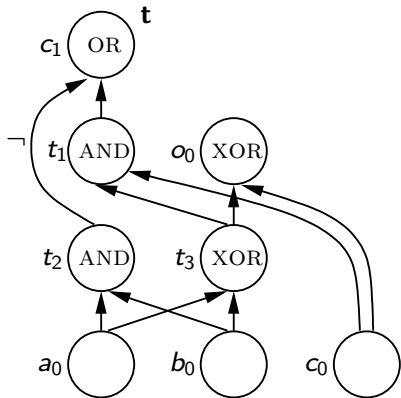


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$(a_0 \vee t_3 \vee \bar{c}_0)$	$(\bar{t}_3 \vee a_0 \vee \bar{b}_0)$
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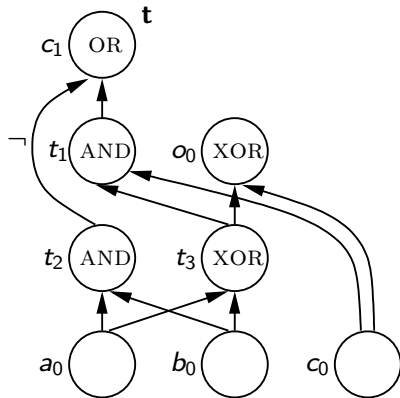


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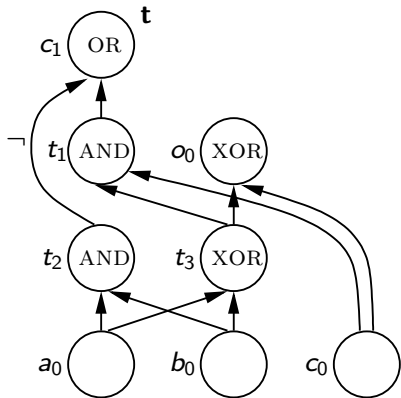


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$(\bar{a}_0 \vee \bar{t}_3 \vee \bar{c}_0)$	$(\bar{t}_3 \vee a_0 \vee \bar{b}_0)$
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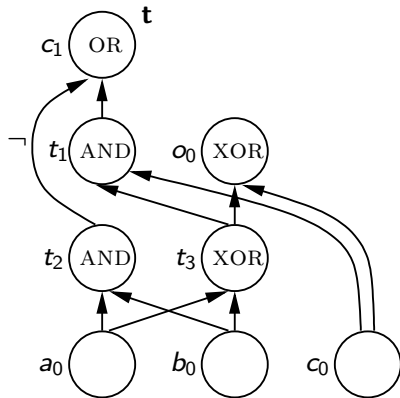


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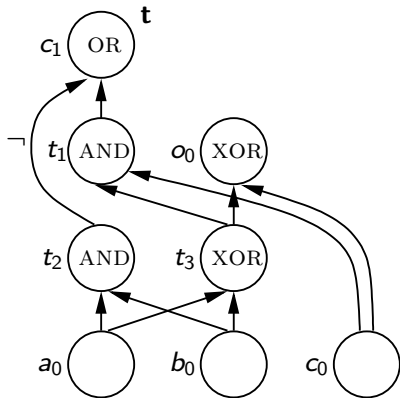


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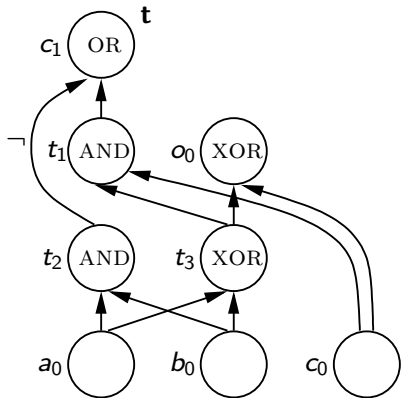


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$(\bar{a}_0 \vee \bar{t}_3 \vee \bar{c}_0)$	$(\bar{t}_2 \vee b_0)$
$(a_0 \vee t_3 \vee \bar{c}_0)$	$(\bar{t}_3 \vee a_0 \vee b_0)$
$(a_0 \vee \bar{t}_3 \vee c_0)$	$(\bar{t}_3 \vee \bar{a}_0 \vee \bar{b}_0)$
	$(t_3 \vee a_0 \vee \bar{b}_0)$
	$(t_3 \vee \bar{a}_0 \vee b_0)$

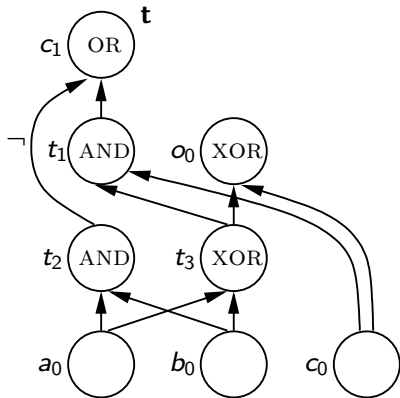


BCE very effective on circuits [JärvisaloBiereHeule'10]

BCE converts the Tseitin encoding to Plaisted Greenbaum
BCE simulates Pure literal elimination, Cone of influence and
much more

Example of circuit simplification by BCE on Tseitin encoding

(c_1)	$(t_1 \vee \bar{t}_3 \vee \bar{c}_0)$
$(\bar{c}_1 \vee t_1 \vee \bar{t}_2)$	$(\bar{t}_1 \vee t_3)$
$(c_1 \vee \bar{t}_1)$	$(\bar{t}_1 \vee c_0)$
$(c_1 \vee t_2)$	$(t_2 \vee \bar{a}_0 \vee \bar{b}_0)$
$(\bar{a}_0 \vee t_3 \vee c_0)$	$(\bar{t}_2 \vee a_0)$
$(\bar{a}_0 \vee \bar{t}_3 \vee \bar{c}_0)$	$(\bar{t}_2 \vee b_0)$
$(a_0 \vee t_3 \vee \bar{c}_0)$	$(\bar{t}_3 \vee a_0 \vee \bar{b}_0)$
$(a_0 \vee \bar{t}_3 \vee c_0)$	$(\bar{t}_3 \vee \bar{a}_0 \vee \bar{b}_0)$
	$(t_3 \vee a_0 \vee \bar{b}_0)$
	$(t_3 \vee \bar{a}_0 \vee b_0)$



Hyper Binary Resolution

Hyper Binary Resolution [Bacchus-AAAI02]

Definition (Hyper Binary Resolution Rule)

$$\frac{(I \vee l_1 \vee l_2 \vee \dots \vee l_n) \quad (\bar{l}_1 \vee l') \quad (\bar{l}_2 \vee l') \quad \dots \quad (\bar{l}_n \vee l')}{(I \vee l')}$$

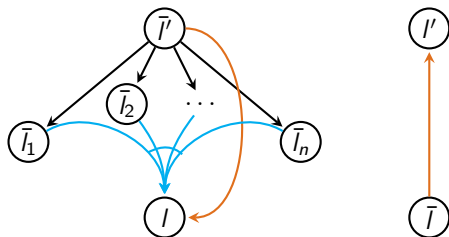
binary edge



hyper edge



hyper binary edge



Hyper Binary Resolution Rule:

- ▶ combines multiple resolution steps into one
- ▶ uses one n-ary clauses and multiple binary clauses
- ▶ special case hyper unary resolution where $l = l'$

Hyper Binary Resolution (HBR)

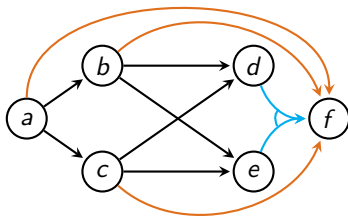
Definition (Hyper Binary Resolution)

Apply the hyper binary resolution rule until fixpoint

Example

Consider

$$(\bar{a} \vee b) \wedge (\bar{a} \vee c) \wedge (\bar{b} \vee d) \wedge (\bar{b} \vee e) \wedge (\bar{c} \vee d) \wedge (\bar{c} \vee e) \wedge (\bar{d} \vee \bar{e} \vee f).$$



hyper binary resolvents:

$$(\bar{a} \vee f), (\bar{b} \vee f), (\bar{c} \vee f)$$

HBR is confluent, i.e., has a unique fixpoint

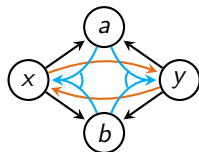
Structural Hashing of AND-gates via HBR

gate g	$g \Rightarrow f(g_1, \dots, g_n)$ "positive"	$g \Leftarrow f(g_1, \dots, g_n)$ "negative"
$g := \text{OR}(g_1, \dots, g_n)$	$(\bar{g} \vee g_1 \vee \dots \vee g_n)$	$(g \vee \bar{g}_1), \dots, (g \vee \bar{g}_n)$
$g := \text{AND}(g_1, \dots, g_n)$	$(\bar{g} \vee g_1), \dots, (\bar{g} \vee g_n)$	$(g \vee \bar{g}_1 \vee \dots \vee \bar{g}_n)$
$g := \text{XOR}(g_1, g_2)$	$(\bar{g} \vee \bar{g}_1 \vee \bar{g}_2), (\bar{g} \vee g_1 \vee g_2)$	$(g \vee \bar{g}_1 \vee g_2), (g \vee g_1 \vee \bar{g}_2)$
$g := \text{ITE}(g_1, g_2, g_3)$	$(\bar{g} \vee \bar{g}_1 \vee g_2), (\bar{g} \vee g_1 \vee g_3)$	$(g \vee \bar{g}_1 \vee \bar{g}_2), (g \vee g_1 \vee \bar{g}_3)$

Definition (Structural Hashing of AND-gates)

Given a Boolean circuit with two equivalent gates, merge the gates.

Example



$$x = \text{AND}(a,b) : (\bar{x} \vee a) \wedge (\bar{x} \vee b) \wedge (x \vee \bar{a} \vee \bar{b})$$

$$y = \text{AND}(a,b) : (\bar{y} \vee a) \wedge (\bar{y} \vee b) \wedge (y \vee \bar{a} \vee \bar{b})$$

the two HBRs $(\bar{x} \vee y)$ and $(x \vee \bar{y})$ express that $x = y$

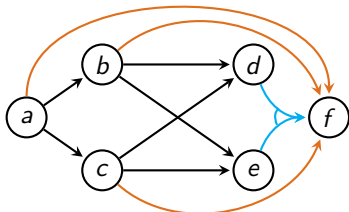
Non-transitive Hyper Binary Resolution (NHBR)

A problem with classic HBR is that it adds many **transitive** binary clauses

Example

Consider

$$(\bar{a} \vee b) \wedge (\bar{a} \vee c) \wedge (\bar{b} \vee d) \wedge (\bar{b} \vee e) \wedge (\bar{c} \vee d) \wedge (\bar{c} \vee e) \wedge (\bar{d} \vee \bar{e} \vee f).$$



adding $(\bar{b} \vee f)$ or $(\bar{c} \vee f)$
makes $(\bar{a} \vee f)$ transitive

Solution [HeuleJärvisaloBiere 2013]

Add only non-transitive hyper binary resolvents

Can be implemented using an alternative unit propagation style

Space Complexity of NHBR: Quadratic

Question regarding complexity [Biere 2009]

- ▶ Are there formulas where the transitively reduced hyper binary resolution closure is quadratic in size w.r.t. to the size of the original?
- ▶ where size = #clauses or size = #literals or size = #variables

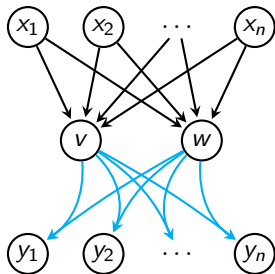
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Yes!

Consider the formula $F_n = \bigwedge_{1 \leq i \leq n} ((\bar{x}_i \vee v) \wedge (\bar{x}_i \vee w) \wedge (\bar{v} \vee \bar{w} \vee y_i))$



#variables: $2n + 2$

#clauses: $3n$

#literals: $7n$

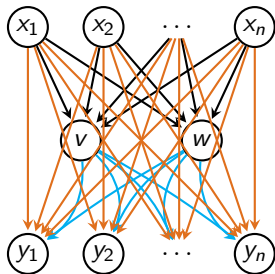
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#variables: $2n + 2$

#clauses: $3n$

#literals: $7n$

n^2 hyper binary resolvents:
 $(\bar{x}_i \vee y_j)$ for $1 \leq i, j \leq n$

Unhiding Redundancy

Redundancy

Redundant clauses:

- ▶ Removal of $C \in F$ preserves unsatisfiability of F
- ▶ Assign all $l \in C$ to false and check for a conflict in $F \setminus \{C\}$

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Redundant literals:

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Redundancy

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Redundant literals:

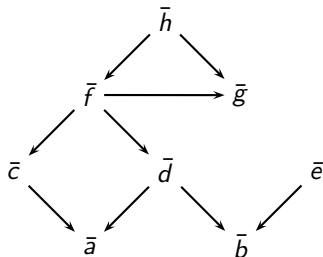
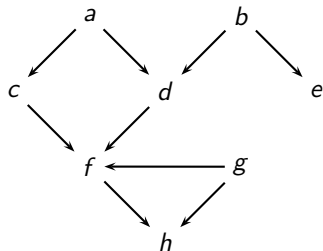
- ▶ Removal of $l \in C$ preserves satisfiability of F
- ▶ Assign all $l' \in C \setminus \{l\}$ to false and check for a conflict in F

Redundancy elimination during pre- and in-processing

- ▶ Distillation [JinSomenzi2005]
- ▶ ReVivAI [PietteHamadiSaïs2008]
- ▶ Unhiding [HeuleJärvisaloBiere2011]

Unhide: Binary implication graph (BIG)

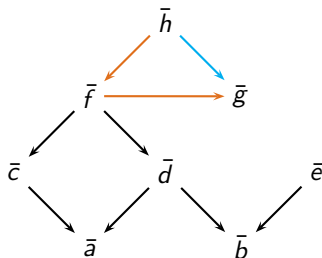
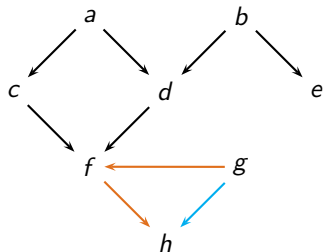
unhide: use the binary clauses to detect redundant clauses and literals



$$\begin{aligned} &(\bar{a} \vee c) \wedge (\bar{a} \vee d) \wedge (\bar{b} \vee d) \wedge (\bar{b} \vee e) \wedge \\ &(\bar{c} \vee f) \wedge (\bar{d} \vee f) \wedge (\bar{g} \vee f) \wedge (\bar{f} \vee h) \wedge \\ &(\bar{g} \vee h) \wedge \underbrace{(\bar{a} \vee \bar{e} \vee h) \wedge (\bar{b} \vee \bar{c} \vee h) \wedge (a \vee b \vee c \vee d \vee e \vee f \vee g \vee h)}_{\text{non binary clauses}} \end{aligned}$$

Unhide: Transitive reduction (TRD)

transitive reduction: remove shortcuts in the binary implication graph



$$(\bar{a} \vee c) \wedge (\bar{a} \vee d) \wedge (\bar{b} \vee d) \wedge (\bar{b} \vee e) \wedge$$

$$(\bar{c} \vee f) \wedge (\bar{d} \vee f) \wedge (\bar{g} \vee f) \wedge (\bar{f} \vee h) \wedge$$

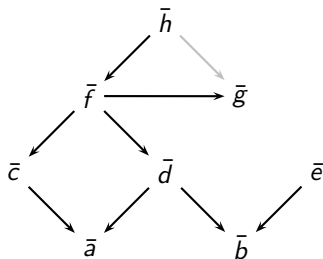
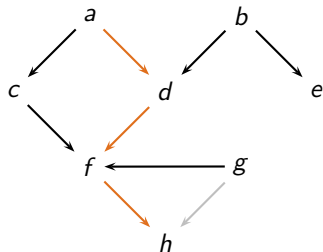
~~$$(\bar{g} \vee h) \wedge (\bar{a} \vee \bar{e} \vee h) \wedge (\bar{b} \vee \bar{c} \vee h) \wedge (a \vee b \vee c \vee d \vee e \vee f \vee g \vee h)$$~~

TRD

$$g \rightarrow f \rightarrow h$$

Unhide: Hidden tautology elimination (HTE) (1)

HTE removes clauses that are subsumed by an implication in BIG



$$(\bar{a} \vee c) \wedge (\bar{a} \vee d) \wedge (\bar{b} \vee d) \wedge (\bar{b} \vee e) \wedge$$

$$(\bar{c} \vee f) \wedge (\bar{d} \vee f) \wedge (\bar{g} \vee f) \wedge (\bar{f} \vee h) \wedge$$

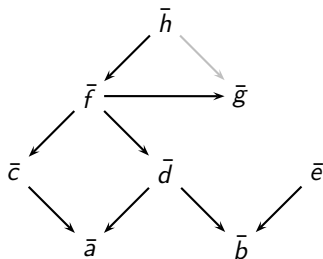
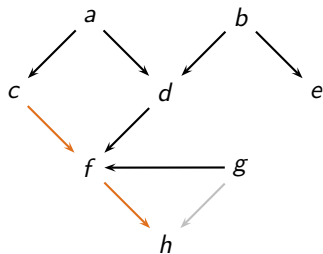
$$(\bar{a} \vee \bar{e} \vee h) \wedge (\bar{b} \vee \bar{c} \vee h) \wedge (a \vee b \vee c \vee d \vee e \vee f \vee g \vee h)$$

HTE

$$a \rightarrow d \rightarrow f \rightarrow h$$

Unhide: Hidden tautology elimination (HTE) (2)

HTE removes clauses that are subsumed by an implication in BIG



$$(\bar{a} \vee c) \wedge (\bar{a} \vee d) \wedge (\bar{b} \vee d) \wedge (\bar{b} \vee e) \wedge$$

$$(\bar{c} \vee f) \wedge (\bar{d} \vee f) \wedge (\bar{g} \vee f) \wedge (\bar{f} \vee h) \wedge$$

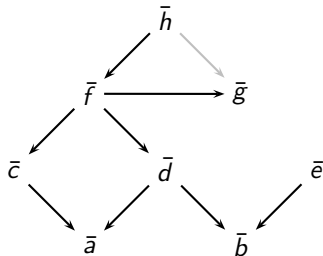
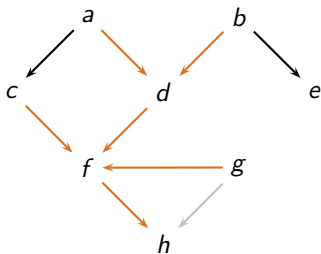
$$(\bar{b} \vee \bar{c} \vee h) \wedge (a \vee b \vee c \vee d \vee e \vee f \vee g \vee h)$$

HTE

$$c \rightarrow f \rightarrow h$$

Unhide: Hidden literal elimination (HLE)

HLE removes literal using the implication in BIG



$$(\bar{a} \vee c) \wedge (\bar{a} \vee d) \wedge (\bar{b} \vee d) \wedge (\bar{b} \vee e) \wedge$$

$$(\bar{c} \vee f) \wedge (\bar{d} \vee f) \wedge (\bar{g} \vee f) \wedge (\bar{f} \vee h) \wedge$$

$$(\bar{a} \vee \bar{b} \vee \bar{c} \vee \bar{d} \vee e \vee \bar{f} \vee \bar{g} \vee h)$$

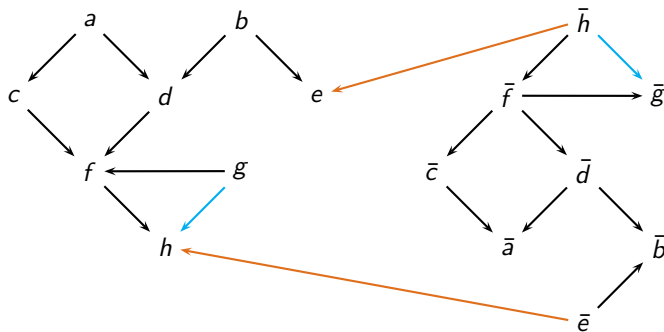
HLE

all but e imply h

also b implies e

Unhide: TRD + HTE + HLE

unhide: redundancy elimination removes and adds arcs from BIG(F)



$$(\bar{a} \vee c) \wedge (\bar{a} \vee d) \wedge (\bar{b} \vee d) \wedge (\bar{b} \vee e) \wedge$$
$$(\bar{c} \vee f) \wedge (\bar{d} \vee f) \wedge (\bar{g} \vee f) \wedge (\bar{f} \vee h) \wedge (e \vee h)$$

Conclusions

Many pre- or in-processing techniques in SAT solvers:

- ▶ (Self-)Subsumption
- ▶ Variable Elimination
- ▶ Blocked Clause Elimination
- ▶ Hyper Binary Resolution
- ▶ Bounded Variable Addition
- ▶ Equivalent Literal Substitution
- ▶ Failed Literal Elimination
- ▶ Autarky Reasoning
- ▶ ...

Preprocessing and Inprocessing

Marijn J.H. Heule



SC² Summer School, July 31, 2017