

Embedding the virtual substitution in the mcSAT framework

SC² 2017

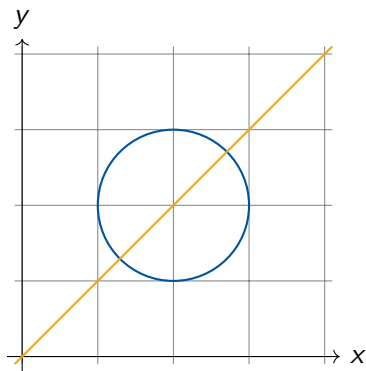
Erika Ábrahám, Jasper Nalbach and Gereon Kremer

29th July, 2017



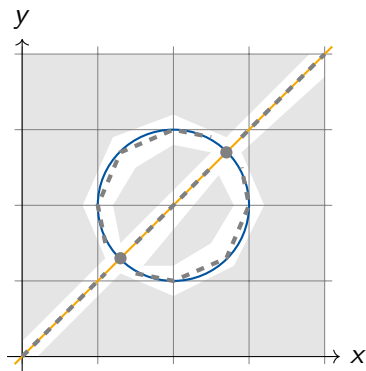
Solving non-linear arithmetic with the cylindrical algebraic decomposition (CAD) [Collins 1975]

$$(x - 2)^2 + (y - 2)^2 - 1 < 0 \wedge x - y = 0$$



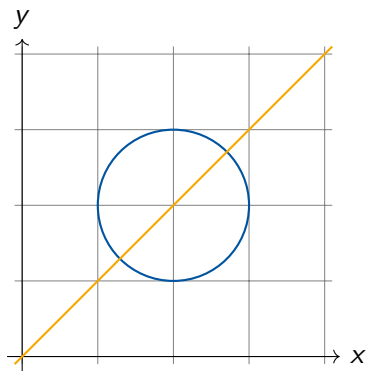
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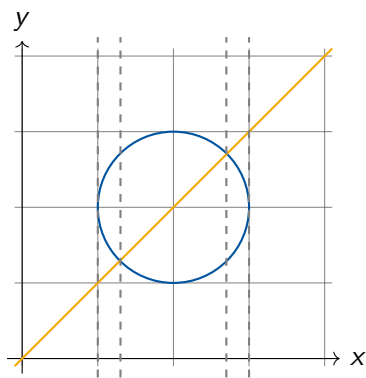
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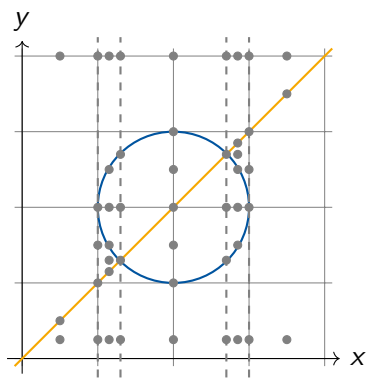
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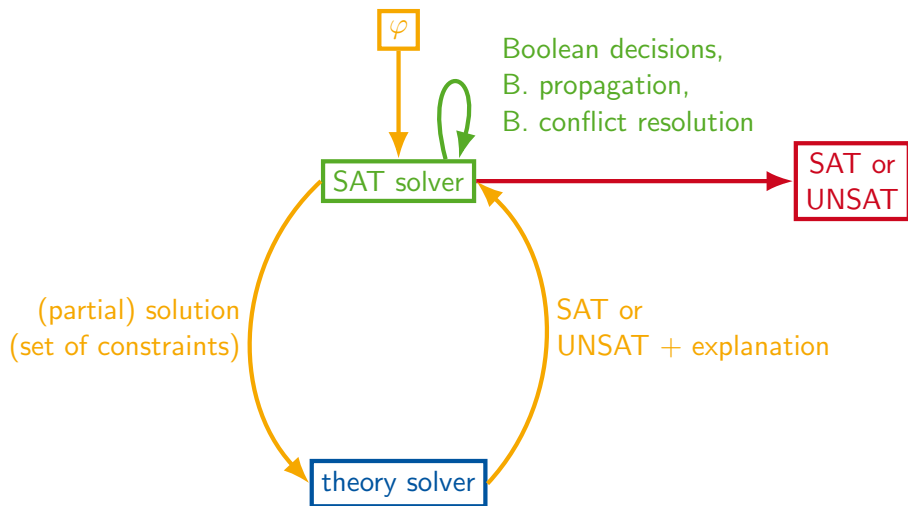


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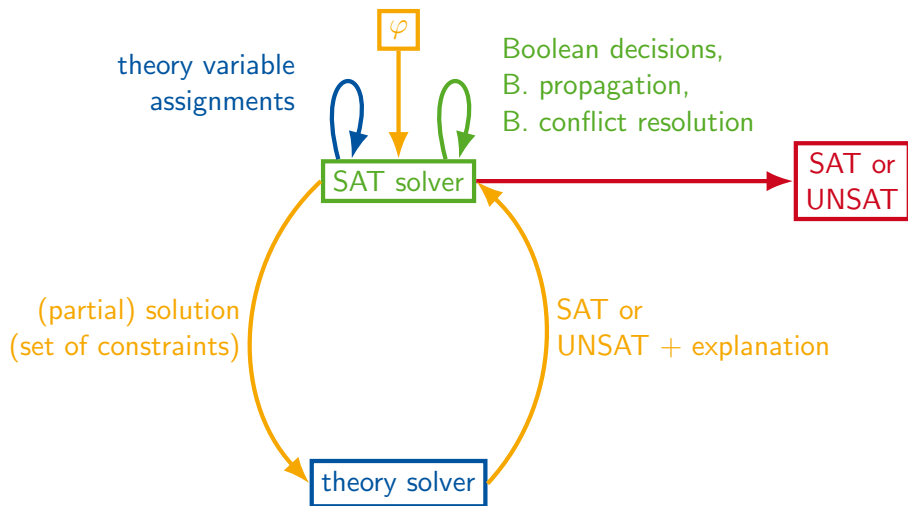
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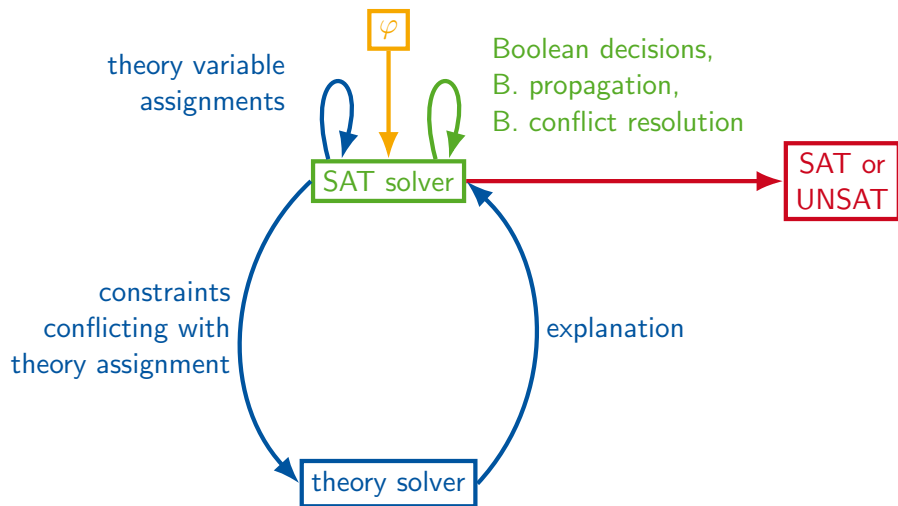
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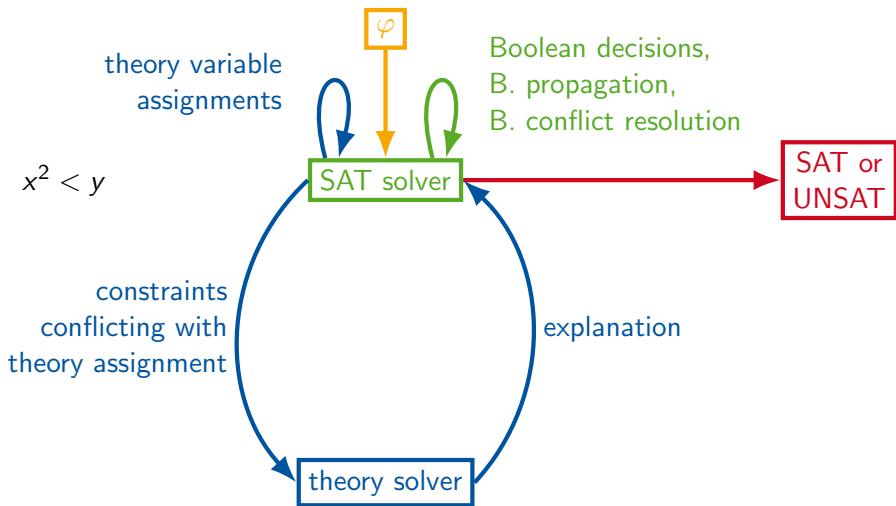
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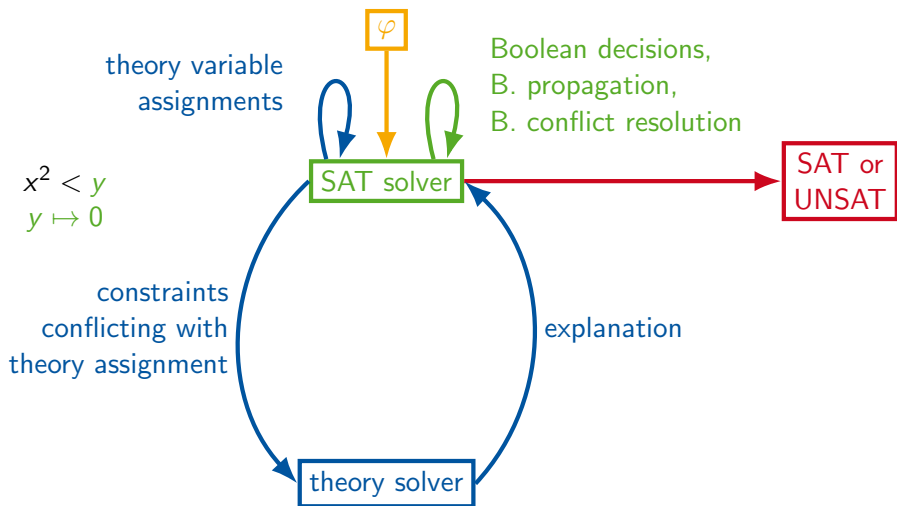
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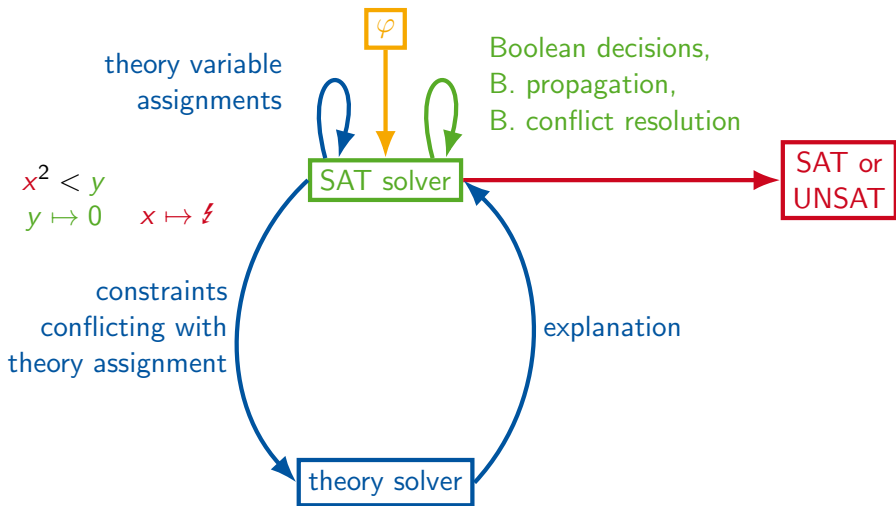
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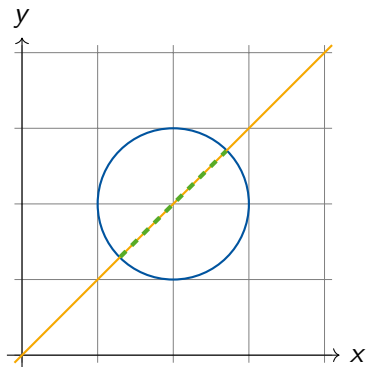
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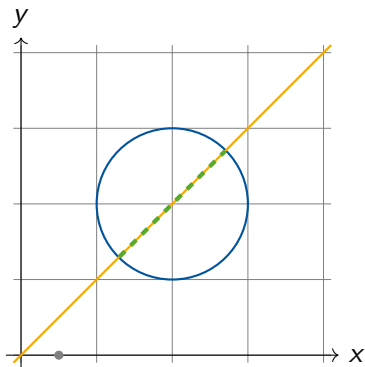
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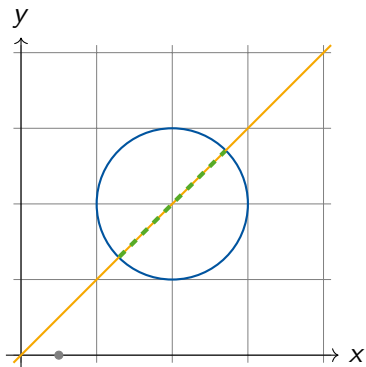


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Choose $x = 0.5$

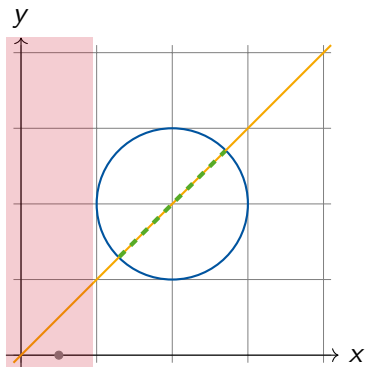
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$$\wedge c_1 \rightarrow \neg(x < \text{zero}(1, x^2 - 4x + 3))$$



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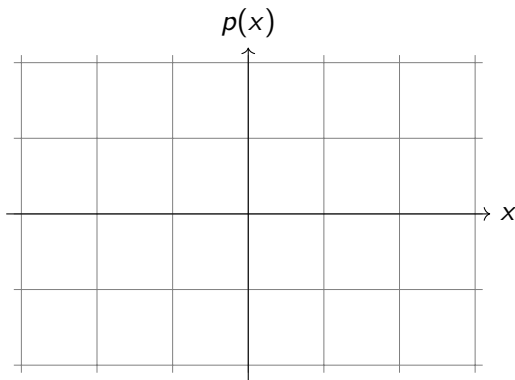
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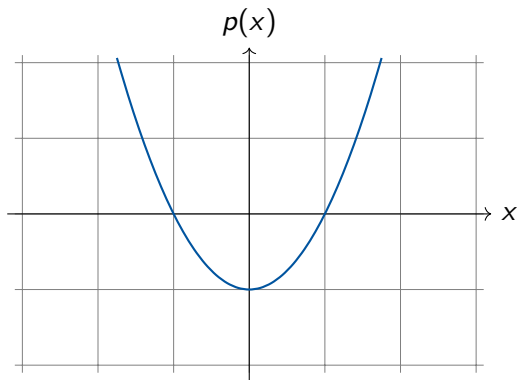
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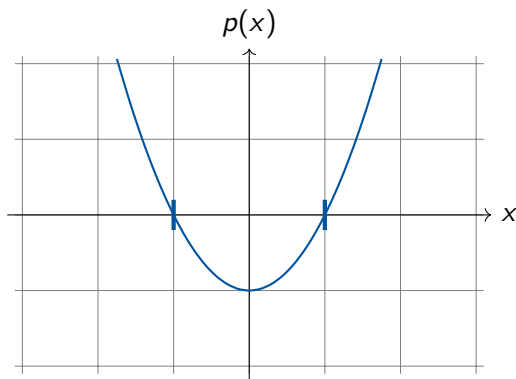
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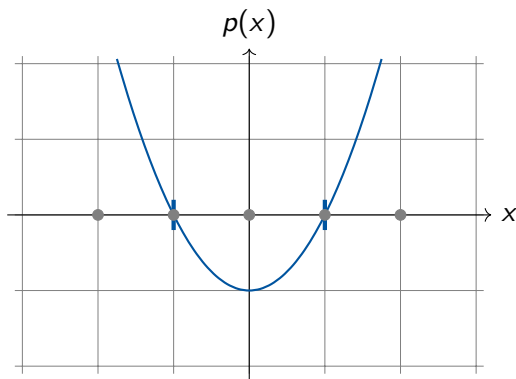
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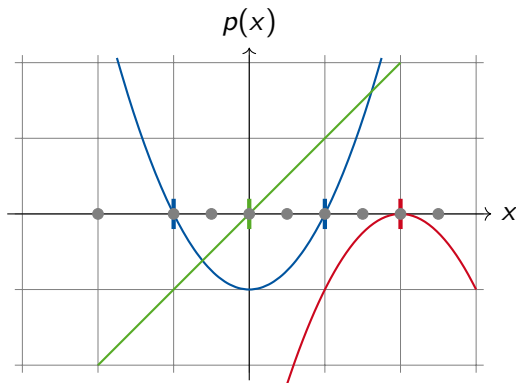
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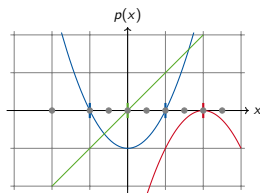
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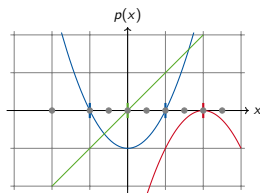
Multivariate case: Symbolic description of zeros



$$p_1x^2 + p_2x + p_3 \sim 0 \text{ where } \sim \in \{=, <, >, \leq, \geq, \neq\}$$

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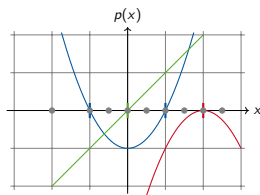
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Zeros are given as **square root expression** under certain **side conditions**

| case | zeros | side condition |
|------|-------|----------------|
| | | |

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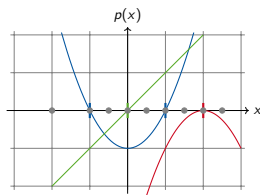
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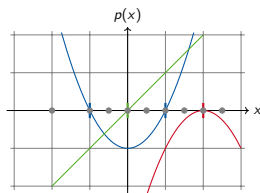
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| constant | $-\infty$ | $p_1 = p_2 = 0$ |
| linear | $-\frac{p_3}{p_2}$ | $p_1 = 0 \wedge p_2 \neq 0$ |
| quadratic | $\frac{-p_2 \pm \sqrt{p_2^2 - 4p_1p_3}}{2p_1}$ | $p_1 \neq 0 \wedge p_2^2 - 4p_1p_3 \geq 0$ |

Virtual substitution (VS) [Weispfenning 1997]

Input formula φ , variable x to be eliminated

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collect all symbolic zeros from all polynomials

→ x

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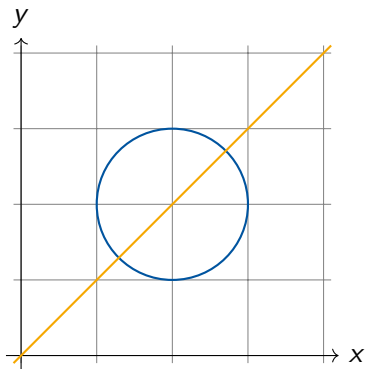


Improvement:

| case | test candidates | | | | | | |
|-----------------------------|-----------------|---------|--------------------|---------|--------------------|---------|--------------------|
| $p \neq 0, p < 0, p > 0$ | $-\infty$ | ξ_0 | $\xi_0 + \epsilon$ | ξ_1 | $\xi_1 + \epsilon$ | ξ_2 | $\xi_2 + \epsilon$ |
| $p = 0, p \leq 0, p \geq 0$ | $-\infty$ | ξ_0 | $\xi_0 + \epsilon$ | ξ_1 | $\xi_1 + \epsilon$ | ξ_2 | $\xi_2 + \epsilon$ |

Virtual substitution: Example

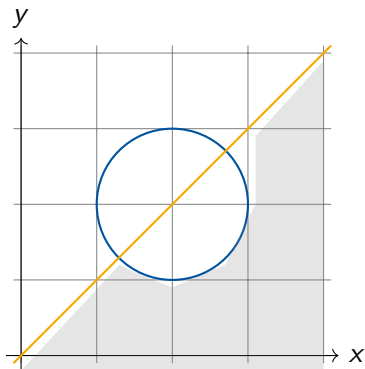
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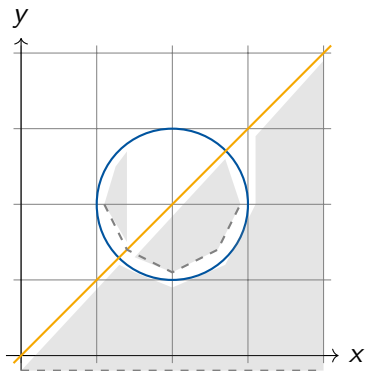
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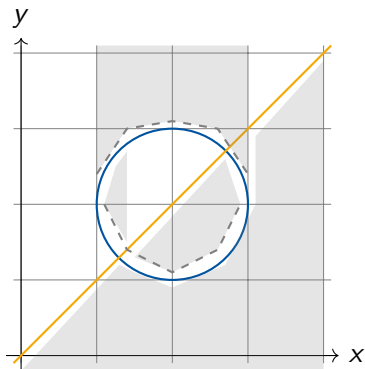
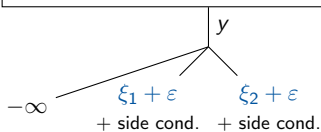
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$-\infty$ $\xi_1 + \varepsilon$
+ side cond.

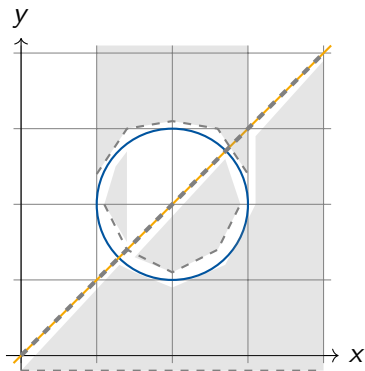
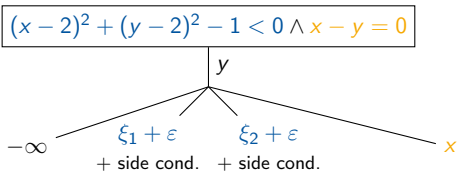


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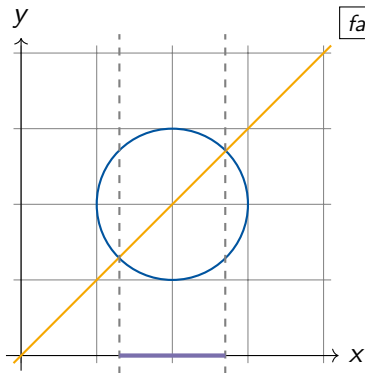
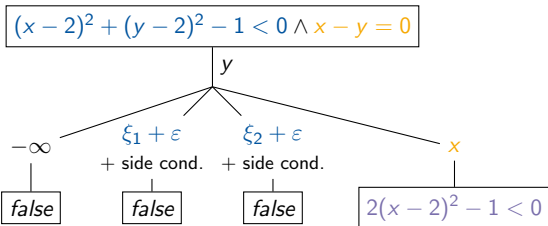
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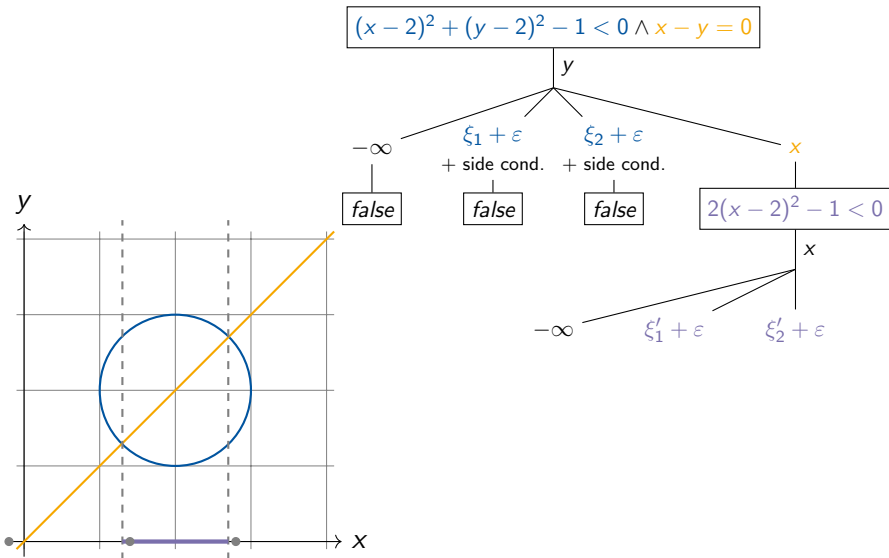
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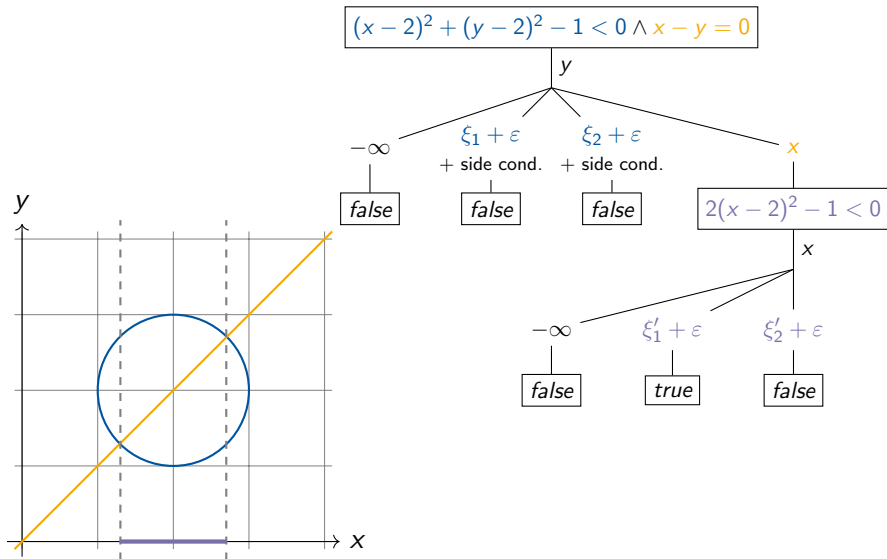
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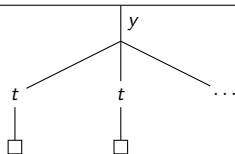
VS for mcSAT: Schematic overview

$$p_1(x_1, \dots, x_n, y) \sim_1 0 \wedge \dots \wedge p_m(x_1, \dots, x_n, y) \sim_m 0$$

x_1, \dots, x_n assigned
 y cannot be assigned

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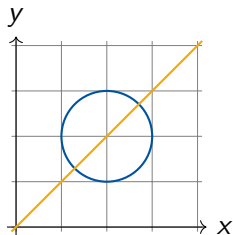
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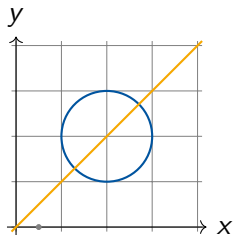
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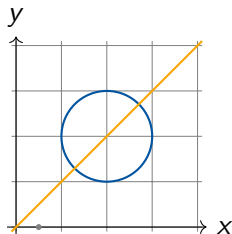
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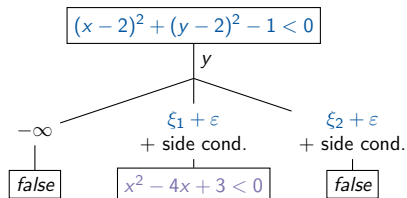
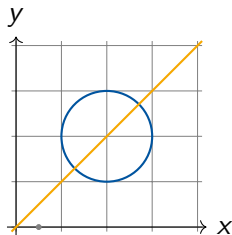


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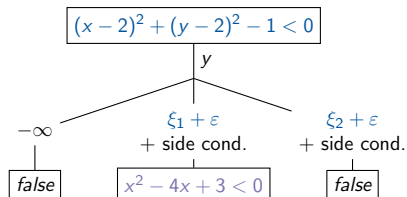
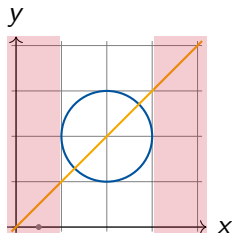
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$$(x - 2)^2 + (y - 2)^2 - 1 < 0 \wedge x - y = 0$$

$$\wedge c_1 \rightarrow x^2 - 4x + 3 < 0$$

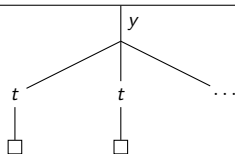
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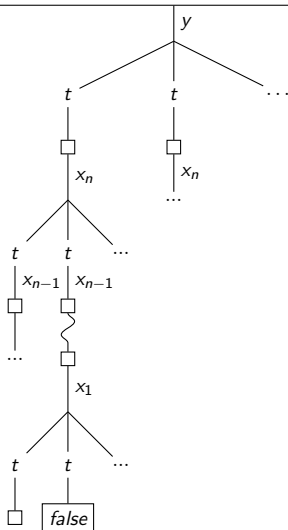


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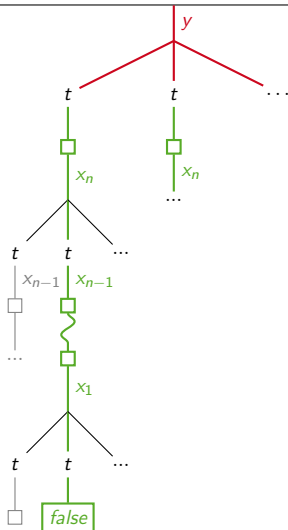
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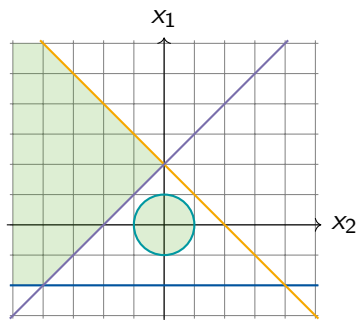
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VS for mcSAT: Generate partial trees

$$p_1 > 0 \wedge p_2 < 0 \wedge (p_3 > 0 \vee p_4 < 0)$$

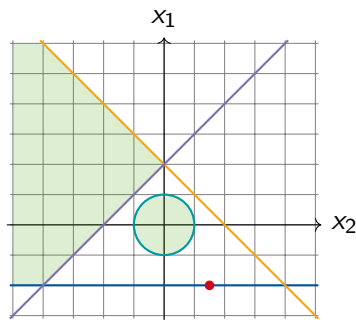


VS for mcSAT: Generate partial trees

$$\alpha(x_1) = -2$$

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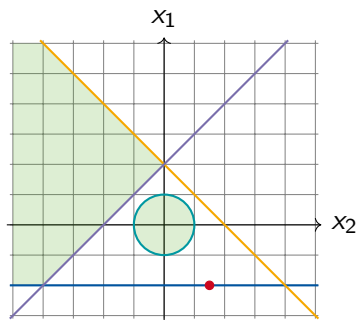
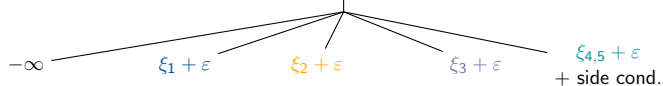
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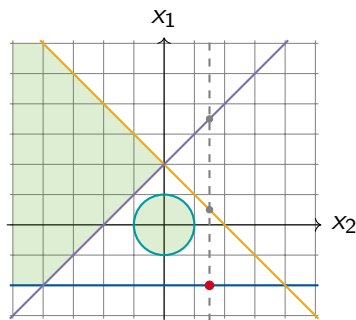
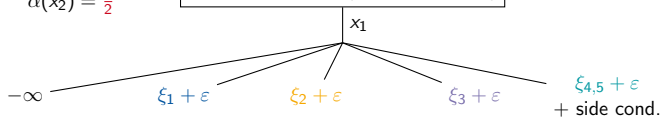
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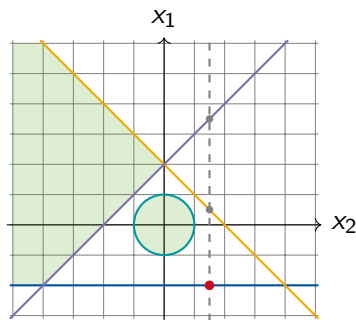
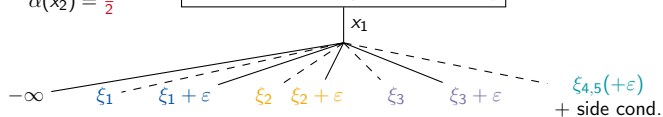
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$$p_1 > 0 \wedge p_2 < 0 \wedge (p_3 > 0 \vee p_4 < 0)$$



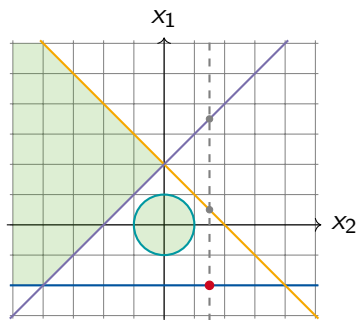
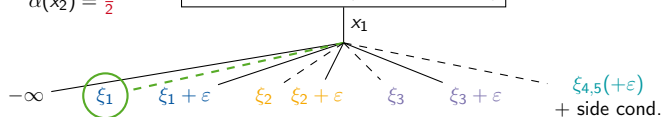
VS for mcSAT: Generate partial trees

$$\llbracket \xi_1 \rrbracket^\alpha = \alpha(x_1) < \llbracket \xi_2 \rrbracket^\alpha < \llbracket \xi_3 \rrbracket^\alpha$$

$$\alpha(x_1) = -2$$

$$\alpha(x_2) = \frac{3}{2}$$

$$p_1 > 0 \wedge p_2 < 0 \wedge (p_3 > 0 \vee p_4 < 0)$$



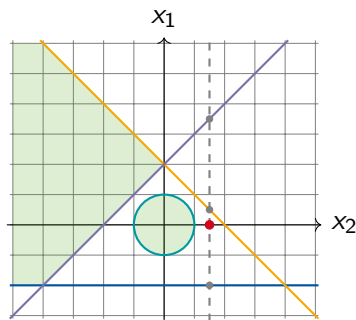
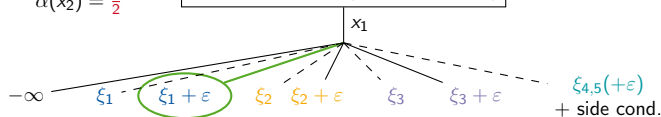
VS for mcSAT: Generate partial trees

$$\llbracket \xi_1 \rrbracket^\alpha < \alpha(x_1) < \llbracket \xi_2 \rrbracket^\alpha < \llbracket \xi_3 \rrbracket^\alpha$$

$$\alpha(x_1) = 0$$

$$\alpha(x_2) = \frac{3}{2}$$

$$p_1 > 0 \wedge p_2 < 0 \wedge (p_3 > 0 \vee p_4 < 0)$$



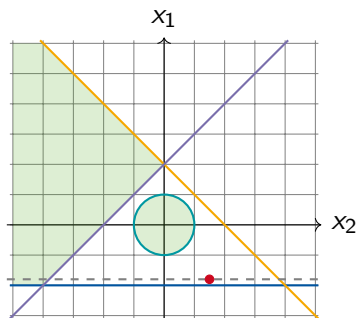
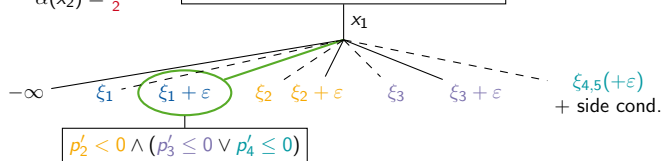
VS for mcSAT: Generate partial trees

$$\llbracket \xi_1 \rrbracket^\alpha < \alpha(x_1) < \llbracket \xi_2 \rrbracket^\alpha < \llbracket \xi_3 \rrbracket^\alpha$$

$$\alpha(x_1) = 0$$

$$\alpha(x_2) = \frac{3}{2}$$

$$p_1 > 0 \wedge p_2 < 0 \wedge (p_3 > 0 \vee p_4 < 0)$$

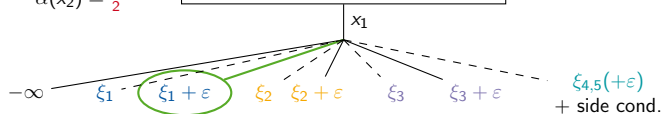


VS for mcSAT: Generate partial trees

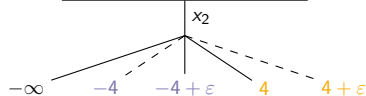
$$\alpha(x_1) = 0$$

$$\alpha(x_2) = \frac{3}{2}$$

$$p_1 > 0 \wedge p_2 < 0 \wedge (p_3 > 0 \vee p_4 < 0)$$

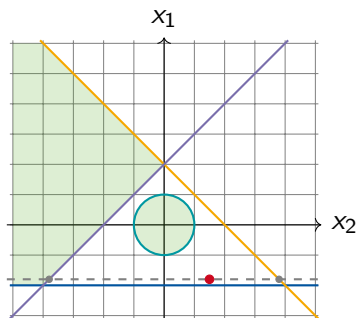


$$p'_2 < 0 \wedge (p'_3 \leq 0 \vee p'_4 \leq 0)$$



$$\llbracket \xi_1 \rrbracket^\alpha < \alpha(x_1) < \llbracket \xi_2 \rrbracket^\alpha < \llbracket \xi_3 \rrbracket^\alpha$$

$$\llbracket \xi'_3 \rrbracket^\alpha < \alpha(x_2) < \llbracket \xi'_2 \rrbracket^\alpha$$

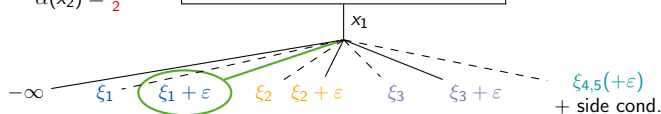


VS for mcSAT: Generate partial trees

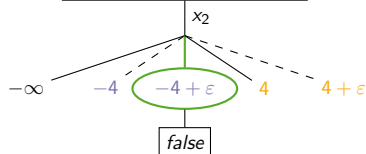
$$\alpha(x_1) = 0$$

$$\alpha(x_2) = \frac{3}{2}$$

$$p_1 > 0 \wedge p_2 < 0 \wedge (p_3 > 0 \vee p_4 < 0)$$

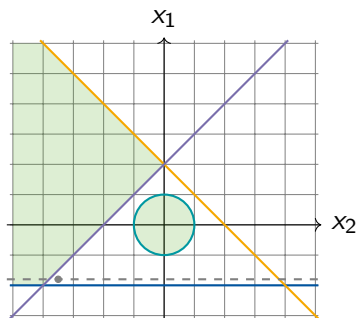


$$p'_2 < 0 \wedge (p'_3 \leq 0 \vee p'_4 \leq 0)$$



$$\llbracket \xi_1 \rrbracket^\alpha < \alpha(x_1) < \llbracket \xi_2 \rrbracket^\alpha < \llbracket \xi_3 \rrbracket^\alpha$$

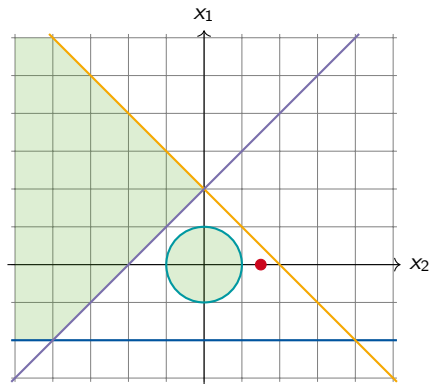
$$\llbracket \xi'_3 \rrbracket^\alpha < \alpha(x_2) < \llbracket \xi'_2 \rrbracket^\alpha$$



VS for mcSAT: Path descriptions

$$\alpha(x_1) = 0$$
$$\alpha(x_2) = \frac{3}{2}$$

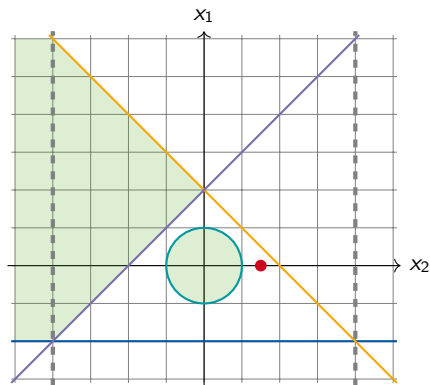
$$[[\xi_1]]^\alpha < \alpha(x_1) < [[\xi_2]]^\alpha < [[\xi_3]]^\alpha$$
$$[[\xi'_3]]^\alpha < \alpha(x_2) < [[\xi'_2]]^\alpha$$



VS for mcSAT: Path descriptions

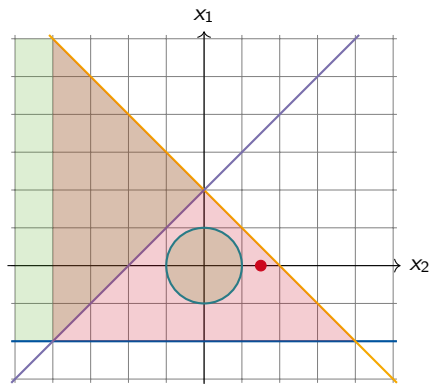
$$\alpha(x_1) = 0$$
$$\alpha(x_2) = \frac{3}{2}$$

$$[\xi_1]^\alpha < \alpha(x_1) < [\xi_2]^\alpha < [\xi_3]^\alpha$$
$$[\xi'_3]^\alpha < \alpha(x_2) < [\xi'_2]^\alpha$$



VS for mcSAT: Path descriptions

$$\alpha(x_1) = 0$$
$$\alpha(x_2) = \frac{3}{2}$$



$$\llbracket \xi_1 \rrbracket^\alpha < \alpha(x_1) < \llbracket \xi_2 \rrbracket^\alpha < \llbracket \xi_3 \rrbracket^\alpha$$
$$\llbracket \xi'_3 \rrbracket^\alpha < \alpha(x_2) < \llbracket \xi'_2 \rrbracket^\alpha$$

naive approach:
define left and right bounds
for each variable

$$\xi_1 < x_1 < \xi_2$$
$$\wedge \xi'_3 < x_2 < \xi'_2$$

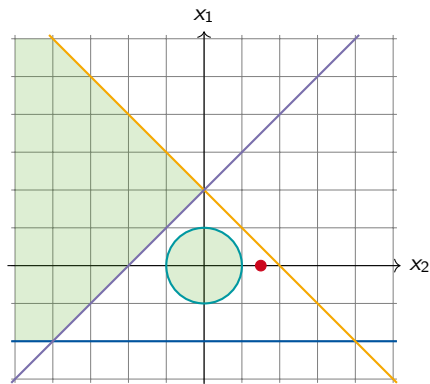
VS for mcSAT: Path descriptions

$$\alpha(x_1) = 0$$
$$\alpha(x_2) = \frac{3}{2}$$

$$[\xi_1]^\alpha < \alpha(x_1) < [\xi_2]^\alpha < [\xi_3]^\alpha$$
$$[\xi'_3]^\alpha < \alpha(x_2) < [\xi'_2]^\alpha$$

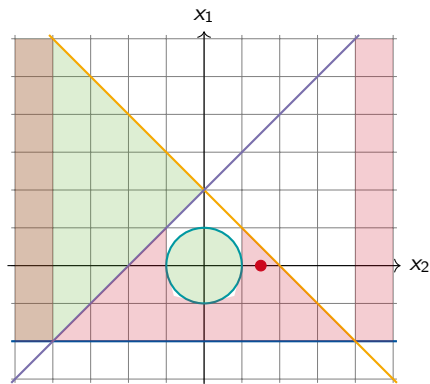


consider all zeros



VS for mcSAT: Path descriptions

$$\alpha(x_1) = 0$$
$$\alpha(x_2) = \frac{3}{2}$$



$$\llbracket \xi_1 \rrbracket^\alpha < \alpha(x_1) < \llbracket \xi_2 \rrbracket^\alpha < \llbracket \xi_3 \rrbracket^\alpha$$

$$\llbracket \xi'_3 \rrbracket^\alpha < \alpha(x_2) < \llbracket \xi'_2 \rrbracket^\alpha$$



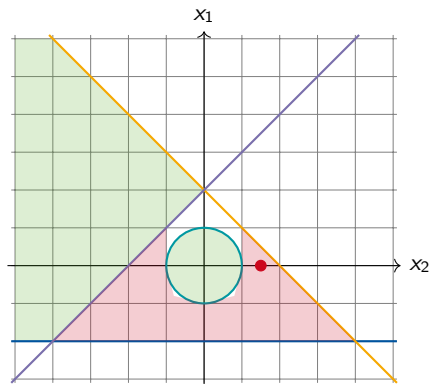
consider all zeros

$$\xi_1 < x_1 \wedge \text{sc}(\xi_1)$$

$$\wedge \bigwedge_{\xi = \xi_2, \xi_3, \xi_4} \text{sc}(\xi) \rightarrow (\xi \leq \xi_1 \vee x_1 < \xi)$$

VS for mcSAT: Path descriptions

$$\alpha(x_1) = 0$$
$$\alpha(x_2) = \frac{3}{2}$$



$$\llbracket \xi_1 \rrbracket^\alpha < \alpha(x_1) < \llbracket \xi_2 \rrbracket^\alpha < \llbracket \xi_3 \rrbracket^\alpha$$

$$\llbracket \xi'_3 \rrbracket^\alpha < \alpha(x_2) < \llbracket \xi'_2 \rrbracket^\alpha$$



consider all zeros

$$\xi_1 < x_1 \wedge \text{sc}(\xi_1)$$

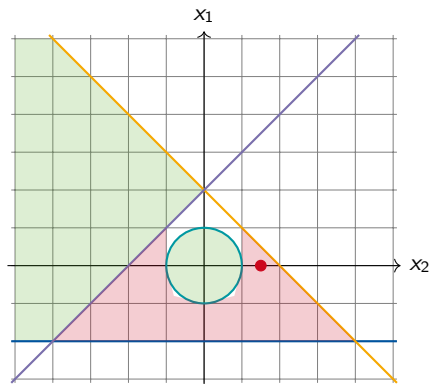
$$\wedge \bigwedge_{\xi = \xi_2, \xi_3, \xi_4} \text{sc}(\xi) \rightarrow (\xi \leq \xi_1 \vee x_1 < \xi)$$

$$\xi'_3 < x_2 \wedge \text{sc}(\xi'_3)$$

$$\wedge \text{sc}(\xi'_2) \rightarrow (\xi'_2 \leq \xi'_3 \vee x_2 < \xi'_2)$$

VS for mcSAT: Path descriptions

$$\alpha(x_1) = 0$$
$$\alpha(x_2) = \frac{3}{2}$$



$$\llbracket \xi_1 \rrbracket^\alpha < \alpha(x_1) < \llbracket \xi_2 \rrbracket^\alpha < \llbracket \xi_3 \rrbracket^\alpha$$
$$\llbracket \xi'_3 \rrbracket^\alpha < \alpha(x_2) < \llbracket \xi'_2 \rrbracket^\alpha$$



consider all zeros

$$\xi_1 < x_1 \wedge \text{sc}(\xi_1)$$

$$\wedge \bigwedge_{\xi = \xi_2, \xi_3, \xi_4} \text{sc}(\xi) \rightarrow (\xi \leq \xi_1 \vee x_1 < \xi)$$

$$\xi'_3 < x_2 \wedge \text{sc}(\xi'_3)$$

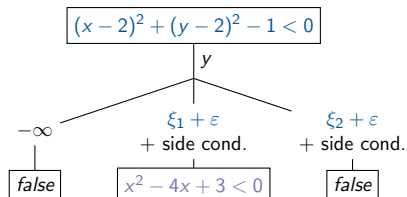
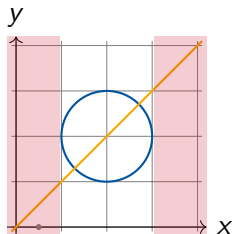
$$\wedge \text{sc}(\xi'_2) \rightarrow (\xi'_2 \leq \xi'_3 \vee x_2 < \xi'_2)$$

Zeros ξ are square root expressions

VS for mcSAT: Example

$$(x - 2)^2 + (y - 2)^2 - 1 < 0 \wedge x - y = 0$$
$$\wedge c_1 \rightarrow x^2 - 4x + 3 < 0$$

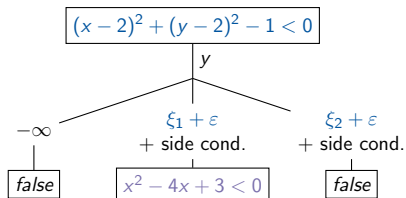
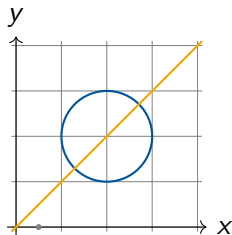
Choose $x = 0.5$
 c_1 is not satisfiable



VS for mcSAT: Example

$$(x - 2)^2 + (y - 2)^2 - 1 < 0 \wedge x - y = 0$$

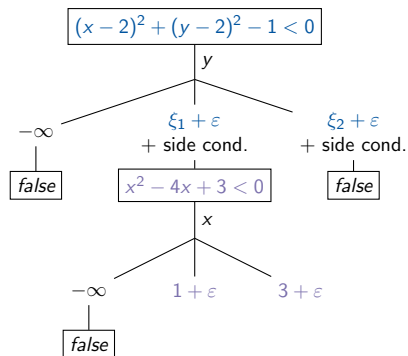
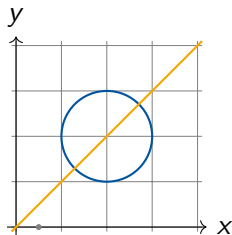
Choose $x = 0.5$
 c_1 is not satisfiable



VS for mcSAT: Example

$$(x - 2)^2 + (y - 2)^2 - 1 < 0 \wedge x - y = 0$$

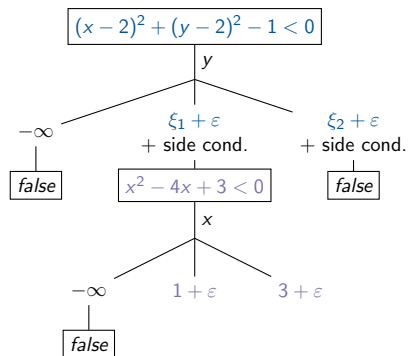
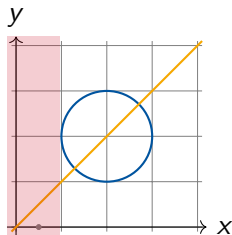
Choose $x = 0.5$
 c_1 is not satisfiable



VS for mcSAT: Example

$$(x - 2)^2 + (y - 2)^2 - 1 < 0 \wedge x - y = 0$$
$$\wedge c_1 \rightarrow (x < \xi_1 \rightarrow \text{false})$$

Choose $x = 0.5$
 c_1 is not satisfiable



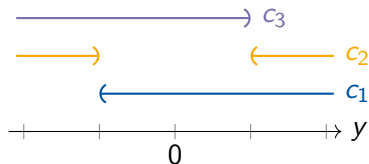
$$x_1 < y \wedge x_2^2 < y^2 \wedge y < x_3$$

$$\alpha(x_1) = -1, \alpha(x_2) = 1, \alpha(x_3) = 1$$

VS for mcSAT: Variables involved in conflict

$$x_1 < y \wedge x_2^2 < y^2 \wedge y < x_3$$

$$\alpha(x_1) = -1, \alpha(x_2) = 1, \alpha(x_3) = 1$$



- implementation of the procedure
- evaluation of VS tree depths and variants of formulas defining a path
- combination of the CAD and the VS