Cylindrical Algebraic Decomposition and Real Polynomial Constraints

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SC² Summer School 2017

CAD and Real Polynomial Constraints

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- If we fix an order for the free variables in a Tarski formula, we have a natural association between Tarski formulas and geometric objects called <u>semi-algebraic sets</u>.

$$F(x_1,\ldots,x_n) \longleftrightarrow \{(\alpha_1,\ldots,\alpha_n) \in \mathbb{R}^n \mid F(\alpha_1,\ldots,\alpha_n)\}$$



GEOMETRY

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• Is one of these empty? Which?

$$F_{1} := \left[\begin{array}{c} -91x + 10y^{2} - 7 > 0 \land -54x - 43yx + 63 > 0 \land \\ -79x^{2} + 4y - 61 > 0 \land -49x^{2} + 42y + 97 > 0 \land \\ 27xy + 25y + 72 > 0 \land -47x - 57yx + 1 > 0 \end{array} \right]$$

$$F_2 := \left[\begin{array}{c} 35x + 41yx - 72 > 0 \land -32x^2 - 4y + 45 > 0 \land \\ 42x^2 - 13y + 14 > 0 \land -73x + 21yx - 18 > 0 \land \\ 24x^2 + 7y + 79 > 0 \land -81x - 46yx - 96 > 0 \end{array} \right]$$

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• What is the dimension of the set defined by this Tarski formula?

$$F_3 := \left[x^4 - 4x^3 + 6x^2 + y^2 - 4x + 4y + 5 \le 0 \right]$$

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The point: CAD provides just such an explicit representation

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- others?

Outline of this session

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- 4 Applying NLSAT/SMT ideas to computer algebra

Part I: CAD Basics

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 $l_3 < z < u_3$ $l_2 < y < u_2$ $l_1 < x < u_1$

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l < x < u



l(x) < y < u(x)l < x < u

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$$l(x, y) < z < u(x, y)$$

 $l(x) < y < u(x)$
 $l < x < u$

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Note:

We must fix a variable order (here x < y < z) to do this! We define the <u>level</u> of a variable to be its place in this order. E.g. the level of *y* is 2, the level of *z* is 3.



 $x^4 + y^4 < 1 \land 3y - x > 11/8 \land x > 0$

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$$x^4 + y^4 < 1 \wedge 3y - x > 11/8 \wedge x > 0$$

 $\begin{array}{l} \text{Mathematica syntax for algebraic functions} \\ 0 < x < \text{Root} \left[335872 \# 1^4 + 22528 \# 1^3 + 46464 \# 1^2 + 42592 \# 1 - 317135 \&, 2 \right] \\ \frac{1}{24} (8x + 11) < y < \text{Root} \left[\# 1^4 + x^4 - 1 \&, 2 \right] \end{array}$



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QEPCAD B syntax for algebraic functions $0 < x < root_2 335872x^4 + 22528x^3 + 46464x^2 + 42592x - 317135$ $\frac{1}{24}(8x + 11) < y < root_2 y^4 + x^4 - 1$

Definition: The indexed root expression

 $x_k \sigma \operatorname{root}_i p(x_1, \ldots, x_k)$

where $\sigma \in \{<, \leq, >, \geq, =, \neq\}$ and $p \in \mathbb{Z}[x_1, \dots, x_k]$ is *true* at point $(\alpha_1, \dots, \alpha_k) \in \mathbb{R}^k$ if

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- In the context of cell descriptions, we will require that x_k is the highest-level variable in p, and that the bounds on lower-level variables guarantee that p(α₁,..., α_{k-1}, z) has at least *i* distinct real roots.

Definition: The indexed root expression

 $x_k \sigma \operatorname{root}_i p(x_1, \ldots, x_k)$

where $\sigma \in \{<, \leq, >, \geq, =, \neq\}$ and $p \in \mathbb{Z}[x_1, \dots, x_k]$ is *true* at point $(\alpha_1, \dots, \alpha_k) \in \mathbb{R}^k$ if

• $p(\alpha_1, \ldots, \alpha_{k-1}, z)$ has at least *i* distinct real roots, and

 α_k σ β holds, where β is the *i*th distinct root of p(α₁,..., α_{k-1}, z), in ascending order.

- In this (QEPCAD B syntax), σ and "root" form a new predicate.
- In the context of cell descriptions, we will require that x_k is the highest-level variable in p, and that the bounds on lower-level variables guarantee that p(α₁,..., α_{k-1}, z) has at least *i* distinct real roots.
- Mathematica's "Root" expressions count multiplicities.

We need the indexed root expressions that define cell boundaries to be well-behaved over the base of the cell:

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$$y = root_1 y^2 - x^2 + 1$$

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We need the indexed root expressions that define cell boundaries to be well-behaved over the base of the cell: they should define <u>functions</u>

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We need the indexed root expressions that define cell boundaries to be well-behaved over the base of the cell: they should define <u>functions</u> that are continuous

$$+2y-x^2$$

$$y = \operatorname{root}_1 y^3 - 3y^2 + 2y - x^2$$

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We need the indexed root expressions that define cell boundaries to be well-behaved over the base of the cell: they should define <u>functions</u> that are continuous, non-intersecting

$$y = root_1 2y^2 - x^2$$

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We need the indexed root expressions that define cell boundaries to be well-behaved over the base of the cell: they should define <u>functions</u> that are continuous, non-intersecting, and (perhaps) smooth.

$$y = root_1 2y^2 - x^2$$

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<u>Delineability</u> is a condition that captures what we need to define boundaries of cylindrical cells.

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<u>Delineability</u> is a condition that captures what we need to define boundaries of cylindrical cells.

Definition: polynomial $f(x_1, ..., x_k)$ is <u>delineable</u> over connected region $S \subseteq \mathbb{R}^{k-1}$ if

- for all $\alpha \in S$ we have $f(\alpha, x_k) \neq 0$
- the the set of real roots of f(α, x_k), α ∈ S is either empty or it consists of finitely many continuous functions θ₁ < ··· < θ_t from S to ℝ, with t ≥ 1; and, in the latter case
- there exist positive integers m₁,..., m_t such that, for all α ∈ S and all *i*, m_i is the multiplicity of θ_i(α) as a root of f(α, x_k).

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Set $\{f_1, \ldots, f_r\}$ of *k*-level polynomials is <u>delineable</u> if $f_1 f_2 \cdots f_r$ is delineable.

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The θ_i 's are referred to as the <u>sections</u> of *f* over *S*.

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$$0 < x < \operatorname{root}_2 2x^2 - 1 \land x < y < \operatorname{root}_2 2x^2 - 1$$

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If the *k*-level bound for a cell is given as an open interval, the cell is said to be a *k*-level sector. Otherwise it is a *k*-level section.

Cylindrical Cell - a formal definition

Definition: A <u>cylindrical algebraic cell</u> in \mathbb{R}^k .

- 1. \mathbb{R}^0 is a cylindrical algebraic cell in \mathbb{R}^0 .
- 2. if *C* is a cylindrical algebraic cell in \mathbb{R}^k then
 - a) $\{(\alpha_1, \ldots, \alpha_k, \beta) \in C \times \mathbb{R} \mid \beta = \text{root}_i f(\alpha, z)\}$ where *f* is delineable over *C* with at least *i* sections, is a cylindrical algebraic cell in \mathbb{R}^{k+1} ,
 - b) $\{(\alpha_1, \ldots, \alpha_k, \beta) \in \mathbb{C} \times \mathbb{R} \mid \beta > \text{root}_i f(\alpha, z) \text{ and } \beta < \text{root}_j g(\alpha, z)\}$ where $\{f, g\}$ is delineable over \mathbb{C} , f having at least i sections, g having at least j sections, and section $\text{root}_i f$ everywhere less than section $\text{root}_j g$, is a cylindrical algebraic cell in \mathbb{R}^{k+1} ,
- 3. nothing else is a cylindrical cell.

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• a cylindrical cell is a semi-algebraic set

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- a cylindrical cell is a semi-algebraic set
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- determining whether a given point is in the cell is relatively easy, which is not always the case with explicitly represented objects
- cylindrical cells have nice descriptions in terms of algebraic functions, though they are <u>not</u> tarski formulas
- it is possible to produce a tarski formula description of a cell

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Let $S \subseteq \mathbb{R}^3$ be defined by $F := [x^2 + y^2 + z^2 < 1 \land xyz < 1/8]$

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Let $S \subseteq \mathbb{R}^3$ be defined by $F := [x^2 + y^2 + z^2 < 1 \land xyz < 1/8]$ $\underbrace{ \text{cylindrical algebraic cell } C \subseteq S \\ \text{root}_1 \ z^2 + y^2 + x^2 - 1 < z < \text{root}_2 \ z^2 + y^2 + x^2 - 1 \\ \text{root}_1 \ y^2 + x^2 - 1 < y < \text{root}_1 \ 64x^2y^4 + 64x^4y^2 - 64x^2y^2 + 1 \\ \text{root}_1 \ 4x^3 - 4x - 1 < x < \text{root}_2 \ 4x^3 - 4x - 1 \end{aligned}$

Let $S \subseteq \mathbb{R}^3$ be defined by $F := [x^2 + y^2 + z^2 < 1 \land xyz < 1/8]$ cylindrical algebraic cell $C \subseteq S$

 $\operatorname{root}_1 y^2 + x^2 - 1 < y < \operatorname{root}_1 64x^2y^4 + 64x^4y^2 - 64x^2y^2 + 1$ $\operatorname{root}_1 4x^3 - 4x - 1 < x < \operatorname{root}_2 4x^3 - 4x - 1$

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Let
$$S \subseteq \mathbb{R}^3$$
 be defined by $F := [x^2 + y^2 + z^2 < 1 \land xyz < 1/8]$

$$\frac{\text{cylindrical algebraic cell } C \subseteq S}{\text{root}_1 \ z^2 + y^2 + x^2 - 1} < z < \text{root}_2 \ z^2 + y^2 + x^2 - 1}$$

$$\frac{1}{\text{root}_1 \ y^2 + x^2 - 1} < y < \text{root}_1 \ 64x^2y^4 + 64x^4y^2 - 64x^2y^2 + 1}{\text{root}_1 \ 4x^3 - 4x - 1} < x < \text{root}_2 \ 4x^3 - 4x - 1$$

Find a sample/model point $\alpha = ($, ,) $\in C$

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Let $S \subseteq \mathbb{R}^3$ be defined by $F := [x^2 + y^2 + z^2 < 1 \land xyz < 1/8]$

cylindrical algebraic cell $C \subseteq S$

Find a sample/model point $\alpha = ($, ,) $\in C$

Via unvivarate real root isolation on the bounds for *x* we get $-0.8375... < x < -0.2695... \rightarrow$ choose x = -1/2

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cylindrical algebraic cell $C \subseteq S$

Find a sample/model point $\alpha = (-1/2, \dots,) \in C$

Substitute x = -1/2 into the bounds for y getting root₁ $y^2 + (-\frac{1}{2})^2 - 1 < y < \text{root}_1 64 (-\frac{1}{2})^2 y^4 + 64 (-\frac{1}{2})^4 y^2 - 64 (-\frac{1}{2})^2 y^2 + 1$ Via unvivarate real root isolation we get $-0.8660 \dots < y < -0.8090 \dots \longrightarrow$ choose y = -53/64 = -0.828125

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cylindrical algebraic cell $C \subseteq S$

Find a sample/model point $\alpha = (-1/2, -53/64,) \in C$

Substitute *x*, *y* = -1/2, -53/64 into the bounds for *z* getting root₁ $z^2 + (-\frac{53}{64})^2 + (-\frac{1}{2})^2 - 1 < z < \text{root}_2 z^2 + (-\frac{53}{64})^2 + (-\frac{1}{2})^2 - 1$ Via unvivarate real root isolation we get $-0.2533... < z < 0.2533... \rightarrow \text{choose } z = 1/8 = 0.125$

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Cylindrical Cell - a closing example

Let $S \subseteq \mathbb{R}^3$ be defined by $F := [x^2 + y^2 + z^2 < 1 \land xyz < 1/8]$

cylindrical algebraic cell $C \subseteq S$

Find a sample/model point $\alpha = (-1/2, -53/64, 1/8) \in C$

Substitute *x*, *y* = -1/2, -53/64 into the bounds for *z* getting root₁ $z^2 + (-\frac{53}{64})^2 + (-\frac{1}{2})^2 - 1 < z < \text{root}_2 z^2 + (-\frac{53}{64})^2 + (-\frac{1}{2})^2 - 1$ Via unvivarate real root isolation we get $-0.2533... < z < 0.2533... \rightarrow \text{choose } z = 1/8 = 0.125$

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Definition: A set *D* of cylindrical cells in \mathbb{R}^n is <u>cylindrically arranged</u> if for all $c_1, c_2 \in D$ and $0 < k \leq n$, the projections onto \mathbb{R}^k of c_1 and c_2 are either identical or disjoint.

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CAD and Real Polynomial Constraints

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Theorem: Suppose semi-algebraic set *S* is definined as a union of elements in a set D_n of disjoint cylindrical cells in \mathbb{R}^n that are cylindrically arranged. For $0 \le k \le n$, define $D_k = \{\pi_k(c) \mid c \in D_n\}$.

• The elements of D_k are disjoint cylindrical cells in \mathbb{R}^k that are cylindrically arranged, and

•
$$\pi_k(S) = \bigcup_{c \in D_k} c$$

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Time out to compute

$$x^4 + y^2 < 1 \wedge (x + y)(x - y) < 0 \wedge y > -x - 1 \wedge (x + 1/2) > 0$$

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Time out to compute

$$\begin{aligned} x^{4} + y^{2} < 1 \land (x + y)(x - y) < 0 \land y > -x - 1 \land (x + 1/2) > 0 \\ > \text{ solve}([x^{4} + y^{2} < 1, (x + y)^{*}(x - y) < 0, y > -x - 1, (x + 1/2) > 0], \{x, y\}); \\ \text{memory used=416.3MB, alloc=110.3MB, time=3.86} \\ \{-1/2 < x, x < 0, y < x, -x - 1 < y\}, \\ \{-1/2 < x, x < 0, y < x, -x - 1 < y\}, \\ \{-1/2 < x, x < 0, y < (-x + 1), -x < y\}, \{x = 0, -1 < y, y < 0\}, \\ \{x = 0, 0 < y, y < 1\}, \{0 < x, x < \text{RootOf}(Z^{4} + Z^{2} - 1, 0.75 \dots 0.8125), y < (-x^{4} + 1)^{1/2}\} \end{aligned}$$

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Time out to compute

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Cylindrical formula: start with the disjunction of defining formulas for the cells in D_k , and level by level factor out common subexpressions.

$$0 < x < 1 \land y < -1$$

$$0 < x < 1 \land 0 < y < \operatorname{root}_{2} y^{2} + x^{2} - 1$$

$$x = 0 \land y < -1$$

$$1 < x < \operatorname{root}_{2} x^{2} - 2 \land y < -1$$

$$1 < x < \operatorname{root}_{2} x^{2} - 2 \land \operatorname{root}_{1} (x - 1)y - 1 < y$$

$$\downarrow$$

$$0 < x < 1 \land \left(\begin{array}{c} y < -1 \lor \\ 0 < y < \text{root}_2 \ y^2 + x^2 - 1 \end{array} \right)$$

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Cylindrically Arranged Cells — let's sum up

Describing a semi-algebraic set as a union of cylindrically arranged cells, whether factored into a cylindrical formula or not, is a natural idea.

- 1. It is an explicit description (SAT/dimension/model-points/projection are easy).
- 2. It gives us a natural "split by cases" description.

3. However:

How could we compute complement in this representation? How we could construct such a thing from a Tarski formula?

So we have to look one step further to find an explicit representation that meets all of our goals ...

CAD — a definition ... finally

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- On its own, a CAD does not represent a semi-algebraic set. But if we attach truth labels to each cell, then this "CAD+truth-labels" can be viewed as a representation of the semi-algebraic set defined by the union of the cells labeled true.
- In this representation, complement is easy: just toggle the cells' truth values! So all that's left is this: how, given a Tarski formula, do we construct a CAD+truth-values representation of the same semi-algebraic set?



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CAD — the CAD construction problem

Problem: Given quantifier-free Tarski formula *F* in variables x_1, \ldots, x_n , produce a CAD of \mathbb{R}^n that is <u>truth-invariant</u> for *F*.

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Note 1: When we say that a CAD is *g*-invariant for some function *g* : ℝⁿ → *Q*, we mean that the for each cell in the CAD it holds that the value of *g* at any two points in the cell is the same. Here, *g* is *F*, and *Q* is {*true*, *false*}.

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- Note 2: When we say "produce a CAD", we intend that one has each cell represented so that a sample point or cylindrical formula defining the cell is either stored or can be easily produced.

• Consider $F = \{x^2 + y^2 - 1 < 0 \land (x - y)(xy - 1/4) < 0\}$

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$$A = \{x^2 + y^2 - 1, x - y, xy - 1/4\}$$
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• If CAD *D* is sign invariant for *A* then it is truth invariant for *F*, where "sign invariant for *A*" means if p_1, \ldots, p_k are the elements of *A*, *D* is invariant for the function $g(x) = (\text{sgn}(p_1(x)), \ldots, \text{sgn}(p_k(x)))$.

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Focus on sign-invariant CADs!

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Example:
$$F = [x^2 - 2 >= 0 \land 8x^3 - 56x - 49 < 0]$$

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CAD and Real Polynomial Constraints

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Univariate real root isolation on of the elements of A ...

$$\beta_1 = -1.97..., \alpha_1 = -1.41..., \beta_2 = -1.03..., \alpha_2 = 1.41..., \beta_3 = 3.00...$$

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2D Example: $[36y^2 - 2x^3 - 9x^2 < 0 \land (x+2)y - 2 < 0]$ $A := \{36y^2 - 2x^3 - 9x^2, (x+2)y - 2\}$

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CAD — Collins' key ideas

Wanted: cells in which A_n are sign-invariant

Compute: $P_n(A_n) \in \mathbb{Z}[x_1, ..., x_{n-1}]$ s.t. if cell $S \in \mathbb{R}^{n-1}$ is sign-invariant for $P_n(A_n)$, then A_n are delineable over S

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 create 1D CAD D_c that is sign-invariant for A_n evaluated at α

Each cell *d* in D_c represents a cell in the CAD of \mathbb{R}^n !

The process just described was developed by George Collins in the early 1970s. It is built on ...

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The process just described was developed by George Collins in the early 1970s. It is built on ...

- a projection operator that produces *P_n*, and
- <u>univariate real root isolation</u> for polynomials with algebraic number coefficients.

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The process just described was developed by George Collins in the early 1970s. It is built on ...

- a projection operator that produces *P_n*, and
- <u>univariate real root isolation</u> for polynomials with algebraic number coefficients.

Collins' Original Projection Operator:

$$\begin{aligned} &\operatorname{ProjC}(A,n) &:= \operatorname{ProjC}_{1}(A,n) \cup \operatorname{ProjC}_{2}(A,n), \text{ where} \\ &\operatorname{ProjC}_{1}(A,n) &:= \bigcup_{f \in A} \bigcup_{g \in \operatorname{RED}_{x_{n}}(f)} \left(\{\operatorname{Idcf}_{x_{n}}(g)\} \cup \operatorname{PSC}_{x_{n}}(g,g') \right) \\ &\operatorname{ProjC}_{2}(A,n) &:= \bigcup_{f_{1},f_{2} \in A} \bigcup_{\substack{g_{1} \in \operatorname{RED}_{x_{n}}(f_{1})\\g_{2} \in \operatorname{RED}_{x_{n}}(f_{2})}} \operatorname{PSC}_{x_{n}}(g_{1},g_{2}) \end{aligned}$$

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 $\exists z \left[x^2 + y^2 + z^2 - 1 < 0 \land 2(x+y)z - 1 > 0 \land y > 0 \right] \Big|$

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$$\left| \exists z \left[x^2 + y^2 + z^2 - 1 < 0 \land 2(x+y)z - 1 > 0 \land y > 0 \right] \right|$$

$$A = \{x^2 + y^2 + z^2 - 1, 2(x + y)z - 1, y\}$$

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$$\left| \exists z \left[x^2 + y^2 + z^2 - 1 < 0 \land 2(x+y)z - 1 > 0 \land y > 0 \right] \right|$$

$$A = \{x^2 + y^2 + z^2 - 1, 2(x + y)z - 1, y\}$$

$$A_3 = \{x^2 + y^2 + z^2 - 1, 2(x + y)z - 1\}$$

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$$\left| \exists z \left[x^2 + y^2 + z^2 - 1 < 0 \land 2(x+y)z - 1 > 0 \land y > 0 \right] \right|$$

$$A = \{x^2 + y^2 + z^2 - 1, 2(x + y)z - 1, y\}$$

$$A_3 = \{x^2 + y^2 + z^2 - 1, 2(x + y)z - 1\}$$

$$P(A_3) = \{y^2 + x^2 - 1, y + x,$$

$$4y^4 + 8xy^3 + 8x^2y^2 - 4y^2 + 8x^3y - 8xy + 4x^4 - 4x^2 + 1\}$$

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$$\left| \exists z \left[x^2 + y^2 + z^2 - 1 < 0 \land 2(x+y)z - 1 > 0 \land y > 0 \right] \right|$$

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$$B = A_{<3} \cup P(A_3)$$

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$$\left| \exists z \left[x^2 + y^2 + z^2 - 1 < 0 \land 2(x+y)z - 1 > 0 \land y > 0 \right] \right|$$

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$$P(A_3) = \{y^2 + x^2 - 1, y + x, 4y^4 + 8xy^3 + 8x^2y^2 - 4y^2 + 8x^3y - 8xy + 4x^4 - 4x^2 + 1\}$$

$$B = A_{<3} \cup P(A_3)$$

$$B_2 = \{y, y^2 + x^2 - 1, y + x,
4y^4 + 8xy^3 + 8x^2y^2 - 4y^2 + 8x^3y - 8xy + 4x^4 - 4x^2 + 1\}$$

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$$\left| \exists z \left[x^2 + y^2 + z^2 - 1 < 0 \land 2(x+y)z - 1 > 0 \land y > 0 \right] \right|$$

$$A = \{x^2 + y^2 + z^2 - 1, 2(x + y)z - 1, y\}$$

$$A_3 = \{x^2 + y^2 + z^2 - 1, 2(x + y)z - 1\}$$

$$P(A_3) = \{y^2 + x^2 - 1, y + x,$$

$$4y^4 + 8xy^3 + 8x^2y^2 - 4y^2 + 8x^3y - 8xy + 4x^4 - 4x^2 + 1\}$$

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$$\left| \exists z \left[x^2 + y^2 + z^2 - 1 < 0 \land 2(x+y)z - 1 > 0 \land y > 0 \right] \right|$$

$$A = \{x^2 + y^2 + z^2 - 1, 2(x + y)z - 1, y\}$$

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4y^4 + 8xy^3 + 8x^2y^2 - 4y^2 + 8x^3y - 8xy + 4x^4 - 4x^2 + 1\}$$

$$P(B_2) = \{x + 1, x - 1, x, 32x^6 - 80x^4 + 85x^2 - 32, 2x^2 - 1\}$$

 $A \cup B \cup P(B_2)$ is called the "projection factor set"

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What have we learned?

What haven't we learned (that we won't today)

What's next?

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 $\mathbb{E} \to \mathbb{E} \to \mathbb{E}$ $\mathfrak{I} \to \mathfrak{I}$ SC² SS 2017 36 / 59

What have we learned?

• CAD as an explicit representation of semi-algebraic sets

What haven't we learned (that we won't today)

What's next?

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 $\mathbb{E} \times \mathbb{E} \times \mathbb{E} \to \mathbb{E}$ $\mathfrak{I} \to \mathfrak{I} \times \mathfrak{I}$ SC² SS 2017 36/59

What have we learned?

- CAD as an explicit representation of semi-algebraic sets
- Cylindrical cells, indexed root expressions, delineability

What haven't we learned (that we won't today)

What's next?

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What have we learned?

- CAD as an explicit representation of semi-algebraic sets
- Cylindrical cells, indexed root expressions, delineability
- Univariate real root isolation: the engine that makes CAD go

What haven't we learned (that we won't today)

What's next?

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What have we learned?

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- Cylindrical arrangement, Cylindrical formulas, CAD + truth-values

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What's next?

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- Truth-invariant vs. sign-invariant CAD & Collins' original algorithm (the role of the "projection operator")

What haven't we learned (that we won't today)

What's next?

4 **A** N A **B** N A **B** N

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- The ease of projection in the CAD representation

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4 **A** N A **B** N A **B** N

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What haven't we learned (that we won't today)

• How to actually do univariate polynomial real root isolation

What's next?

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What have we learned?

- CAD as an explicit representation of semi-algebraic sets
- Cylindrical cells, indexed root expressions, delineability
- Univariate real root isolation: the engine that makes CAD go
- Cylindrical arrangement, Cylindrical formulas, CAD + truth-values
- Truth-invariant vs. sign-invariant CAD & Collins' original algorithm (the role of the "projection operator")
- The ease of projection in the CAD representation

What haven't we learned (that we won't today)

- How to actually do univariate polynomial real root isolation
- How to compute discriminants, resultants, PSC's and other polynomial operations used by projection operators

What's next?

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Part II: Problem formulation

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CAD and Real Polynomial Constraints

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Suppose we had the following quantifier-elimination problem:

$$\forall x, y \left[y^2 + x^2 < a \Rightarrow ax + by < 1 \right]$$

Suppose we had the following quantifier-elimination problem:

$$\forall x, y \left[y^2 + x^2 < a \Rightarrow ax + by < 1 \right]$$

$$\neg \exists x, y \neg \left[y^2 + x^2 < a \Rightarrow ax + by < 1 \right]$$

Suppose we had the following quantifier-elimination problem:

$$\forall x, y \left[y^2 + x^2 < a \Rightarrow ax + by < 1 \right]$$

$$\neg \exists x, y \neg \left[y^2 + x^2 < a \Rightarrow ax + by < 1 \right]$$

$$\neg \exists x, y \left[y^2 + x^2 < a \land ax + by \ge 1 \right]$$

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Suppose we had the following quantifier-elimination problem:

$$\forall x, y \left[y^2 + x^2 < a \Rightarrow ax + by < 1 \right]$$

$$\neg \exists x, y \neg \left[y^2 + x^2 < a \Rightarrow ax + by < 1 \right]$$

$$\neg \exists x, y \left[y^2 + x^2 < a \land ax + by \ge 1
ight]$$

To construct a CAD of \mathbb{R}^2 for $\forall x, y [y^2 + x^2 < a \Rightarrow ax + by < 1] ...$

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$$\forall x, y \left[y^2 + x^2 < a \Rightarrow ax + by < 1 \right]$$

$$\neg \exists x, y \neg \left[y^2 + x^2 < a \Rightarrow ax + by < 1 \right]$$

$$eg \exists x, y \left[y^2 + x^2 < a \land ax + by \ge 1
ight]$$

To construct a CAD of \mathbb{R}^2 for $\forall x, y [y^2 + x^2 < a \Rightarrow ax + by < 1] ...$ 1. construct CAD for $y^2 + x^2 < a \land ax + by \ge 1$

Suppose we had the following quantifier-elimination problem:

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Only works for orders! (a, b, x, y), (b, a, x, y), (a, b, y, x), (b, a, y, x)

Prenex form — basic Collins-style CAD requires

 $(Q_1 x_{i_1}, \ldots x_{i_2-1}) (Q_2 x_{i_2}, \ldots x_{i_3-1}) \cdots (Q_r x_{i_r}, \ldots x_n) [F(x_1, \ldots, x_n)]$

where $Q_j \in \{\exists, \forall\}, Q_i \neq Q_{i+1} \text{ and } F \text{ is quantifier-free}$

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• Any formula can be put in prenex form

$$\exists z[z > 0 \land \forall y[(2-x)z^2 + y^2 > 0] \land \exists y[xy = 1]]$$

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• Variables can only be reordered within their block

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- Variables can only be reordered within their block
- Given prenex formula with *r* quantifier blocks, we have a strict partial order that constrains CAD variable order:

x < y if x free and y bound, or block(x) before block(y)

C. W. Brown (USNA)

Example b3: Order matters

Consider a CAD for the formula below using the Collins projection:

 $zw^{2} + wx + w - 2y + z + 4x - 1 < 0 \land 2yw^{2} - 3wz - 4w - 2z + 4x - 3y + y < 0 \land x > 0 \land y > 0 \land z > 0$

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If we use the order x, y, z, w, we get 3240 projection factors!

C. W. Brown (USNA)

CAD and Real Polynomial Constraints

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If we use the order x, y, z, w, we get 3240 projection factors! If we use the order w, z, y, x, we get 24 projection factors!

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Choosing a variable ordering

The choice of variable ordering is hugely important!

- In general, one can only reorder variables within the same block
- Several ways to choose ordering (see [Dolzman, Seidl, Sturm 2004], [Huang, Davenport, England ... 2014], ...)
- Simple hueristic
 - 1. Descending order by degree of variable, breaking ties with
 - 2. Descending order by highest total-degree term in which the variable appears, breaking ties with
 - 3. Descending order by number of terms containing the variable

Simplifications that should be done prior to CAD

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CAD and Real Polynomial Constraints

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Simplifications that should be done prior to CAD

• Often formulas include constraints like:

$$x = 0, y = x, 3x - 2y = 1, ax + b = 0$$

E.g. happens when automatically specializing a general formula.

CAD and Real Polynomial Constraints

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No matter what, $x^3 - 5x + 2$ is in the projection factor set!

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Punchline: Do linear substituions before CAD construction!

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- Depending on the quantifier, we may split formulas up
 - ► $(\exists y)[F(x,y) \lor G(x,y)] \Leftrightarrow (\exists y)[F(x,y)] \lor (\exists y)[F(x,y)]$
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• Example b5: with absolute value, we might do this systematically

$$(\exists \alpha)[|x-\alpha| < 1 \land \underbrace{-17\alpha t - 44\alpha x + 71tx - 82\alpha + 80t + 62x < 0}_{C}]$$

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• Example b5: with absolute value, we might do this systematically

$$(\exists \alpha) [|x - \alpha| < 1 \land \underbrace{-17\alpha t - 44\alpha x + 71tx - 82\alpha + 80t + 62x < 0}_{C}]$$

$$|\mathbf{x} - \alpha| < \mathbf{1} \Leftrightarrow \left(\begin{array}{c} \mathbf{x} > \alpha \land \mathbf{x} - \alpha < \mathbf{1} \lor \\ \mathbf{x} < \alpha \land \alpha - \mathbf{x} < \mathbf{1} \lor \\ \mathbf{x} = \alpha \land \mathbf{0} < \mathbf{1} \end{array}\right)$$

$$(\exists \alpha) \left[\begin{array}{c} x > \alpha \land x - \alpha < 1 \land C \lor \\ x < \alpha \land \alpha - x < 1 \land C \lor \\ x = \alpha \land 0 < 1 \land C \end{array} \right] \longleftarrow \text{solve each separately!}$$

Finishing off the previous example ...

$$\underbrace{(\exists \alpha)[x > \alpha \land x - \alpha < 1 \land C]}_{(\exists \alpha)[x < \alpha \land \alpha - x < 1 \land C]} \lor \underbrace{(\exists \alpha)[x = \alpha \land 0 < 1 \land C]}_{(\exists \alpha)[x = \alpha \land 0 < 1 \land C]}$$

C. W. Brown (USNA)

CAD and Real Polynomial Constraints

Finishing off the previous example ...

$$\underbrace{(\exists \alpha)[x > \alpha \land x - \alpha < 1 \land C]}_{(\exists \alpha)[x < \alpha \land \alpha - x < 1 \land C]} \lor \underbrace{(\exists \alpha)[x = \alpha \land 0 < 1 \land C]}_{(\exists \alpha)[x = \alpha \land 0 < 1 \land C]} \lor \underbrace{(\exists \alpha)[x = \alpha \land 0 < 1 \land C]}_{(\exists \alpha)[x = \alpha \land 0 < 1 \land C]}$$

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$$\underbrace{(\exists \alpha)[x > \alpha \land x - \alpha < 1 \land C]}_{(\exists \alpha)[x < \alpha \land \alpha - x < 1 \land C]} \lor \underbrace{(\exists \alpha)[x = \alpha \land 0 < 1 \land C]}_{(\exists \alpha)[x = \alpha \land 0 < 1 \land C]} \lor \underbrace{(\exists \alpha)[x = \alpha \land 0 < 1 \land C]}_{(\exists \alpha)[x = \alpha \land 0 < 1 \land C]} \lor \underbrace{(\exists \alpha)[x = \alpha \land 0 < 1 \land C]}_{(\exists \alpha)[x = \alpha \land 0 < 1 \land C]} \lor \underbrace{(\exists \alpha)[x = \alpha \land 0 < 1 \land C]}_{(\forall x = \alpha \land 0 < 1 \land C]} \lor \underbrace{(\exists \alpha)[x = \alpha \land 0 < 1 \land C]}_{(\forall x = \alpha \land 0 < 1 \land C]} \lor \underbrace{(\exists \alpha)[x = \alpha \land 0 < 1 \land C]}_{(\forall x = \alpha \land 0 < 1 \land C]} \lor \underbrace{(\exists \alpha)[x = \alpha \land 0 < 1 \land C]}_{(\forall x = \alpha \land 0 < 1 \land C]} \lor \underbrace{(\exists \alpha)[x = \alpha \land 0 < 1 \land C]}_{(\forall x = \alpha \land 0 < 1 \land C]} \lor \underbrace{(\exists \alpha)[x = \alpha \land 0 < 1 \land C]}_{(\forall x = \alpha \land 0 < 1 \land C]} \lor \underbrace{(\exists \alpha)[x = \alpha \land 0 < 1 \land C]}_{(\forall x = \alpha \land 0 < 1 \land C]} \lor \underbrace{(\exists \alpha)[x = \alpha \land 0 < 1 \land C]}_{(\forall x = \alpha \land 0 < 1 \land C]} \lor \underbrace{(\exists \alpha)[x = \alpha \land 0 < 1 \land C]}_{(\forall x = \alpha \land 0 < 1 \land C]} \lor \underbrace{(\exists \alpha)[x = \alpha \land 0 < 1 \land C]}_{(\forall x = \alpha \land 0 < 1 \land C]} \lor \underbrace{(\exists \alpha)[x = \alpha \land 0 < 1 \land C]}_{(\forall x = \alpha \land 0 < 1 \land C]} \lor \underbrace{(\exists \alpha)[x = \alpha \land 0 < 1 \land C]}_{(\forall x = \alpha \land 0 < 1 \land C]} \lor \underbrace{(\exists \alpha)[x = \alpha \land 0 < 1 \land C]}_{(\forall x = \alpha \land 0 < 1 \land C]} \lor \underbrace{(\exists \alpha)[x = \alpha \land 0 < 1 \land C]}_{(\forall x = \alpha \land 0 < 1 \land C]} \lor \underbrace{(\exists \alpha)[x = \alpha \land 0 < 1 \land C]}_{(\forall x = \alpha \land 0 < 1 \land C]} \lor \underbrace{(\exists \alpha)[x = \alpha \land 0 < 1 \land C]}_{(\forall x = \alpha \land 0 < 1 \land C]} \lor \underbrace{(\exists \alpha)[x = \alpha \land 0 < 1 \land C]}_{(\forall x = \alpha \land 0 < 1 \land C]} \lor \underbrace{(\exists \alpha)[x = \alpha \land 0 < 1 \land C]}_{(\forall x = \alpha \land 0 < 1 \land C]} \lor \underbrace{(\exists \alpha)[x = \alpha \land 0 < 1 \land C]}_{(\forall x = \alpha \land 0 < 1 \land C]} \lor \underbrace{(\exists \alpha)[x = \alpha \land 0 < 1 \land C]}_{(\forall x = \alpha \land 0 < 1 \land C]} \lor \underbrace{(\exists \alpha)[x = \alpha \land 0 < 1 \land C]}_{(\forall x = \alpha \land 0 < 1 \land C]} \lor \underbrace{(\exists \alpha)[x = \alpha \land 0 < 1 \land C]}_{(\forall x = \alpha \land 0 < 1 \land C]} \lor \underbrace{(\exists \alpha)[x = \alpha \land 0 < 1 \land C]}_{(\forall x = \alpha \land 0 < 1 \land C]} \lor \underbrace{(\exists \alpha)[x = \alpha \land 0 < 1 \land C]}_{(\forall x = \alpha \land 0 < 1 \land C]} \lor \underbrace{(\exists \alpha)[x = \alpha \land 0 \land C]}_{(\forall x = \alpha \land 0 < 1 \land C]} \lor \underbrace{(\exists \alpha)[x = \alpha \land 0 \land C]}_{(\forall x = \alpha \land 0 \land C]} \lor \underbrace{(\exists \alpha)[x = \alpha \land 0 \land C]}_{(\forall x = \alpha \land C]} \lor \underbrace{(\exists \alpha)[x = \alpha \land 0 \land C]}_{(\forall \alpha)[x = \alpha \land C]} \lor \underbrace{(\exists \alpha)[x = \alpha \land C]}_{(\forall \alpha)[x = \alpha \land C]} \lor \underbrace{(\exists \alpha)[x = \alpha \land C]}_{(\forall \alpha)[x = \alpha \land C]} \lor \underbrace{(\exists \alpha)[x = \alpha \land C]}_{(\forall \alpha)[x = \alpha \land C]} \lor \underbrace{(\exists \alpha)[x = \alpha \land C]}_{(\forall \alpha)[x = \alpha \land C]} \lor \underbrace{(\exists \alpha)[x = \alpha \land C]}_{(\forall \alpha)[x = \alpha \land C]} \lor \underbrace{(\exists \alpha)[x = \alpha \land C]}_{(\forall \alpha)[x = \alpha \land C]} \lor \underbrace{(\exists \alpha)[x = \alpha \land C]} \lor \underbrace{(\exists \alpha)[x = \alpha \land C]} \lor \underbrace{(\exists \alpha)[x = \alpha \land C]} \lor \underbrace{(i \alpha \land C]} \lor \underbrace{$$

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Finishing off the previous example ...

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However (again) ... without substantial extra work, we can construct a formula with indexed-root expressions and (though we won't cover it here) CAD's can be constructed directly from such formulas.

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Example b6: $(\exists y)[x^2 + y^2 < 1 \land x + y > 0]$

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Example b6: $(\exists y)[x^2 + y^2 < 1 \land x + y > 0] \rightarrow x < 1 \land x > root_1 2x^2 - 1$

End of Part II

What have we learned?

- The importance of variable ordering
- Carrying out simplification (e.g. linear substitutions) prior to CAD construction
- The value of splitting up inputs and the distinction betweeen Tarski formulas for results vs. formulas with indexed root expressions.

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Complexity

Constructing a CAD via Collins' original algorithm takes time

- where r = # of variables,
- $n = \max$ degree of input in any variable,
- m = # of input polynomials,
- $d = \max$ bitlength of coefficients.

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Constructing a CAD via Collins' original algorithm takes time

$$O\left((2n)^{2^{2r+8}}m^{2^{r+6}}d^3\right)$$

where r = # of variables,

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This is really unfortunate complexity.

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How can we improve upon the original Collins CAD?

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 - Divide & Conquer / incremental CAD (Strzebonski, Kremer, ...)

Part III: SMT meets computer algebra in NLSAT

C. W. Brown (USNA)

CAD and Real Polynomial Constraints

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Big picture to keep in mind

SAT/SMT Strategy

- 1. incrementally build model
- 2. learn by generalizing conflicts

Collins Strategy

- 1. univariate real root isolation
- 2. projection (eliminate variables)

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Collins Strategy

- 1. univariate real root isolation
- 2. projection (eliminate variables)

The NLSAT algorithm of Jovanović and de Moura (2012) is a beautiful synthesis of the two!

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C. W. Brown (USNA)

CAD and Real Polynomial Constraints



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C. W. Brown (USNA)

CAD and Real Polynomial Constraints



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C. W. Brown (USNA)

CAD and Real Polynomial Constraints



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C. W. Brown (USNA)

CAD and Real Polynomial Constraints

 $\overbrace{(x > 1 \lor x < -1)}^{C11} \land \overbrace{x^2 < 3}^{C12} \land \overbrace{(y^2 - 2xy + x > 0 \lor y < -2)}^{C21} \land \overbrace{x^3 + y^2 < 2xy}^{C22}$

 $S \parallel C11 C12$



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S|| C11 C12



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CAD and Real Polynomial Constraints

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 $S \parallel C11 C12$



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 $S \parallel C11 \ C12 \ (x \leftarrow -\frac{3}{2})$



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S|| C11 C12 $(x \leftarrow -\frac{3}{2})$ C21



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S|| C11 C12 $(x \leftarrow -\frac{3}{2})$ C21



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 $S \parallel C$ 11 C12 $\left(x \leftarrow -\frac{3}{2} \right)$ C21 C22



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S|| C11 C12 $(x \leftarrow -\frac{3}{2})$ C21 C22



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 $S \parallel C$ 11 C12 $\left(x \leftarrow -\frac{3}{2} \right)$ C21 C22



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 $S \parallel C11 \ C12 \ \left(x \leftarrow -\frac{3}{2}\right) \ C21 \ C22 \ \left(y \leftarrow \frac{5}{8}\right)$



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 $S \parallel C11 \ C12 \ \left(x \leftarrow -rac{3}{2}
ight) \ C21 \ C22 \ \left(y \leftarrow rac{5}{8}
ight) \checkmark$



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 $S \parallel C11 \ C12 \ \left(x \leftarrow -rac{3}{2}
ight) \ C21 \ C22 \ \left(y \leftarrow rac{5}{8}
ight) \checkmark$



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$S \parallel C 11 \ (x \leftarrow 0)$

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 $S \| C \| (x \leftarrow 0) C \| C \|$

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 $S||C11 (x \leftarrow 0) C21 \times$

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$S||C||(x \leftarrow 0) C|| \times C||C|| = 0$ is $y^2 + 1 \le 0$

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CAD and Real Polynomial Constraints

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CAD: construct cylindrical cell, then specialize to some model point α



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CAD: construct cylindrical cell, then specialize to some model point α



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CAD: construct cylindrical cell, then specialize to some model point α



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CAD: construct cylindrical cell, then specialize to some model point α



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CAD: construct cylindrical cell, then <u>specialize</u> to some model point α



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CAD: construct cylindrical cell, then specialize to some model point α



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CAD: construct cylindrical cell, then specialize to some model point α



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CAD: construct cylindrical cell, then <u>specialize</u> to some model point α



2

CAD: construct cylindrical cell, then <u>specialize</u> to some model point α NLSAT: construct model point α , then <u>generalize</u> to a cylindrical cell





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CAD and Real Polynomial Constraints

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$S||C11 (x \leftarrow 0) C21 \times \leftarrow C21 \text{ at } x = 0 \text{ is } y^2 + 1 \le 0$ generalize conflict to cell $x > \operatorname{root}_1 x^2 - x - 1 \land x < \operatorname{root}_2 x^2 - x - 1$

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CAD and Real Polynomial Constraints

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CAD and Real Polynomial Constraints


 $S || C 11 (x \leftarrow 0) C 21 \times \leftarrow C 21 \text{ at } x = 0 \text{ is } y^2 + 1 \le 0$

 $S \parallel C 1 1$

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CAD and Real Polynomial Constraints

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 $S || C 11 \ (x \leftarrow 0) \ C 21 \times \leftarrow C 21 \ \text{at } x = 0 \ \text{is } y^2 + 1 \le 0$ $S || C 11 \ C 1x$

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CAD and Real Polynomial Constraints



$$S||C11 (x \leftarrow 0) C21 \times \leftarrow C21 \text{ at } x = 0 \text{ is } y^2 + 1 \le 0$$
$$S||C11 C1x (x \leftarrow -\frac{9}{8})$$

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CAD and Real Polynomial Constraints



$S||C11 \ (x \leftarrow 0) \ C21 \times \leftarrow C21 \ \text{at} \ x = 0 \ \text{is} \ y^2 + 1 \le 0$ $S||C11 \ C1x \ (x \leftarrow -\frac{9}{8}) \ C21$



 $S||C11 \ (x \leftarrow 0) \ C21 \times \leftarrow C21 \ \text{at} \ x = 0 \ \text{is} \ y^2 + 1 \le 0$ $S||C11 \ C1x \ (x \leftarrow -\frac{9}{8}) \ C21 \ C22$



$S||C11 (x \leftarrow 0) C21 \times \leftarrow C21 \text{ at } x = 0 \text{ is } y^2 + 1 \le 0$ $S||C11 C1x (x \leftarrow -\frac{9}{8}) C21 C22 (y \leftarrow \frac{11}{10})$

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CAD and Real Polynomial Constraints



$S||C11 (x \leftarrow 0) C21 \times \leftarrow C21 \text{ at } x = 0 \text{ is } y^2 + 1 \le 0$ $S||C11 C1x (x \leftarrow -\frac{9}{8}) C21 C22 (y \leftarrow \frac{11}{10}) C31$

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CAD and Real Polynomial Constraints

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$S||C11 (x \leftarrow 0) C21 \times C21 \text{ at } x = 0 \text{ is } y^2 + 1 \le 0$ $S||C11 C1x (x \leftarrow -\frac{9}{8}) C21 C22 (y \leftarrow \frac{11}{10}) C31 C32$

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CAD and Real Polynomial Constraints

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$S||C11 (x \leftarrow 0) C21 \times \leftarrow C21 \text{ at } x = 0 \text{ is } y^2 + 1 \le 0$ $S||C11 C1x (x \leftarrow -\frac{9}{8}) C21 C22 (y \leftarrow \frac{11}{10}) C31 C32 C33$

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CAD and Real Polynomial Constraints

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S||C| ($x \leftarrow 0$) C| $x \leftarrow C|$ at x = 0 is $y^2 + 1 \le 0$

 $\begin{array}{l} S||C11 \ C1x \ (x \leftarrow -\frac{9}{8}) \ C21 \ C22 \ (y \leftarrow \frac{11}{10}) \ C31 \ C32 \ C33 \times \\ \circ \ above \ \left(-\frac{9}{8}, \frac{11}{10}\right) \ constraints \ C31 \ and \ C33 \ conflict \ independent \ of \ C32 \end{array}$

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CAD and Real Polynomial Constraints

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• we know point $\alpha = \left(-\frac{9}{8}, \frac{11}{10}\right)$

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- we know point $\alpha = \left(-\frac{9}{8}, \frac{11}{10}\right)$
- we know on the line above α , $z > \frac{3}{2} \wedge z^2 + x y < 0$ is violated

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- we know point $\alpha = \left(-\frac{9}{8}, \frac{11}{10}\right)$
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- we know point $\alpha = \left(-\frac{9}{8}, \frac{11}{10}\right)$
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- we compute cylindrical cell *C* that <u>contains</u> α and is <u>sign-invariant</u> for $\{y x, 4y 4x 9\}$,

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• we know point $\alpha = \left(-\frac{9}{8}, \frac{11}{10}\right)$

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- we compute cylindrical cell *C* that <u>contains</u> α and is <u>sign-invariant</u> for $\{y x, 4y 4x 9\}$, $C := -\infty < x \land x < \infty \land x < y \land y < x + \frac{9}{4}$

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• we know point $\alpha = \left(-\frac{9}{8}, \frac{11}{10}\right)$

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• we know point $\alpha = \left(-\frac{9}{8}, \frac{11}{10}\right)$

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- we compute $P_3(\{z \frac{3}{2}, z^2 + x y\}) = \{y x, 4y 4x 9\}$... so over any region s.t. $\{y - x, 4y - 4x - 9\}$ sign-invariant ... $\{z - \frac{3}{2}, z^2 + x - y\}$ are delineable ... so $z > \frac{3}{2} \land z^2 + x - y < 0$ is violated exactly as it is above α
- we compute cylindrical cell *C* that <u>contains</u> α and is <u>sign-invariant</u> for {*y*−*x*, 4*y*−4*x*−9}, *C* := −∞ < *x* ∧ *x* < ∞ ∧ *x* < *y* ∧ *y* < *x*+⁹/₄
 Note: *C* generalizes conflicting point α
- we learn clause $\neg C = (x \ge y \lor y \ge x + \frac{9}{4})$

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 $\begin{array}{l} S || C11 \ (x \leftarrow 0) \ C21 \ \times \leftarrow \ C21 \ \text{at} \ x = 0 \ \text{is} \ y^2 + 1 \le 0 \\ S || C11 \ C1x \ (x \leftarrow -\frac{9}{8}) \ C21 \ C22 \ (y \leftarrow \frac{11}{10}) \ C31 \ C32 \ C33 \ \times \end{array}$

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CAD and Real Polynomial Constraints



 $\begin{array}{l} S || C11 \ (x \leftarrow 0) \ C21 \ \times \leftarrow \ C21 \ \text{at} \ x = 0 \ \text{is} \ y^2 + 1 \le 0 \\ S || C11 \ C1x \ (x \leftarrow -\frac{9}{8}) \ C21 \ C22 \ (y \leftarrow \frac{11}{10}) \ C31 \ C32 \ C33 \ \times \end{array}$

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CAD and Real Polynomial Constraints



$$\begin{array}{l} S||C11 \ (x \leftarrow 0) \ C21 \ \times \leftarrow \ C21 \ \text{at} \ x = 0 \ \text{is} \ y^2 + 1 \le 0 \\ S||C11 \ C1x \ (x \leftarrow -\frac{9}{8}) \ C21 \ C22 \ (y \leftarrow \frac{11}{10}) \ C31 \ C32 \ C33 \ \times \\ S||C11 \ C1x \ (x \leftarrow -\frac{9}{8}) \ C21 \ C22 \end{array}$$

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CAD and Real Polynomial Constraints



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$$\begin{array}{l} S||C11 \ (x \leftarrow 0) \ C21 \ \times \leftarrow \ C21 \ \text{at} \ x = 0 \ \text{is} \ y^2 + 1 \le 0 \\ S||C11 \ C1x \ (x \leftarrow -\frac{9}{8}) \ C21 \ C22 \ (y \leftarrow \frac{11}{10}) \ C31 \ C32 \ C33 \ \times \\ S||C11 \ C1x \ (x \leftarrow -\frac{9}{8}) \ C21 \ C22 \ C2x \ (y \leftarrow \frac{115}{100}) \end{array}$$

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CAD and Real Polynomial Constraints



$$\begin{array}{l} S||C11 \ (x \leftarrow 0) \ C21 \ \times \leftarrow \ C21 \ \text{at} \ x = 0 \ \text{is} \ y^2 + 1 \le 0 \\ S||C11 \ C1x \ (x \leftarrow -\frac{9}{8}) \ C21 \ C22 \ (y \leftarrow \frac{11}{10}) \ C31 \ C32 \ C33 \ \times \\ S||C11 \ C1x \ (x \leftarrow -\frac{9}{8}) \ C21 \ C22 \ C2x \ (y \leftarrow \frac{115}{100}) \ C31 \end{array}$$

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CAD and Real Polynomial Constraints



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CAD and Real Polynomial Constraints



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CAD and Real Polynomial Constraints



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$$\begin{array}{l} S||C11 \ (x \leftarrow 0) \ C21 \ \times \leftarrow \ C21 \ \text{at} \ x = 0 \ \text{is} \ y^2 + 1 \le 0 \\ S||C11 \ C1x \ (x \leftarrow -\frac{9}{8}) \ C21 \ C22 \ (y \leftarrow \frac{11}{10}) \ C31 \ C32 \ C33 \ \times \\ S||C11 \ C1x \ (x \leftarrow -\frac{9}{8}) \ C21 \ C22 \ C2x \ (y \leftarrow \frac{115}{100}) \ C31 \ C32 \ C33 \\ (z \leftarrow \frac{1504}{1000}) \ \checkmark \end{array}$$

SAT/SMT Strategy

- 1. incrementally build model
- 2. learn by generalizing conflicts

Collins Strategy

- 1. univariate real root isolation
- 2. projection (eliminate variables)

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NLSAT is conflict-driven, incremental, optimistic, lazy ...

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What have we learned?

SAT/SMT Strategy

- 1. incrementally build model
- 2. learn by generalizing conflicts

Collins Strategy

- 1. univariate real root isolation
- 2. projection (eliminate variables)

NLSAT is conflict-driven, incremental, optimistic, lazy ...

What have we learned?

Be conflict-driven, incremental, optimistic, and lazy!

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CAD and Real Polynomial Constraints

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• Decompositions can be useful (e.g. if the whole set is important)

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- Decompositions can be useful (e.g. if the whole set is important)
- We may still want to do quantifier elimination

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- Decompositions can be useful (e.g. if the whole set is important)
- We may still want to do quantifier elimination

Can we do something conflict-driven, incremental, optimistic, lazy ... ?

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$$y < 0 \wedge y + \frac{1}{2} > 0 \wedge y^2 - (x + \frac{1}{2})(x - \frac{1}{2})^2 > 0 \wedge \frac{1}{6}(x - \frac{1}{2})^2 + (y + \frac{1}{2})^2 - \frac{1}{4} < 0$$

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$$y < 0 \wedge y + \frac{1}{2} > 0 \wedge y^2 - (x + \frac{1}{2})(x - \frac{1}{2})^2 > 0 \wedge \frac{1}{6}(x - \frac{1}{2})^2 + (y + \frac{1}{2})^2 - \frac{1}{4} < 0$$

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 $y < 0 \wedge y + \frac{1}{2} > 0 \wedge y^2 - (x + \frac{1}{2})(x - \frac{1}{2})^2 > 0 \wedge \frac{1}{6}(x - \frac{1}{2})^2 + (y + \frac{1}{2})^2 - \frac{1}{4} < 0$

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 $y < 0 \wedge y + \frac{1}{2} > 0 \wedge y^2 - (x + \frac{1}{2})(x - \frac{1}{2})^2 > 0 \wedge \frac{1}{6}(x - \frac{1}{2})^2 + (y + \frac{1}{2})^2 - \frac{1}{4} < 0$



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Applying "generalize-from-a-model-point" to CAD



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The result is not a CAD, but something new



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The result is not a CAD, but something new, a NuCAD



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What have I learned?

CAD and Real Polynomial Constraints

SC² SS 2017 59 / 59

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What have I learned?

The value of SC²

C. W. Brown (USNA)

CAD and Real Polynomial Constraints

 $\mathbb{E} \times \mathbb{E} \times \mathbb{E} \to \mathbb{E}$ $\mathfrak{O} \otimes \mathbb{C}^2$ SS 2017 59/59

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